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**NEURAL POPULATION DYNAMICS IN MOTOR CORTEX ARE DIFFERENT FOR REACH AND GRASP**

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**29 ABSTRACT**

30 Low-dimensional linear dynamics are observed in neuronal population activity in primary motor cortex  
31 (M1) when monkeys make reaching movements. This population-level behavior is consistent with a role  
32 for M1 as an autonomous pattern generator that drives muscles to give rise to movement. In the  
33 present study, we examine whether similar dynamics are also observed during grasping movements,  
34 which involve fundamentally different patterns of kinematics and muscle activations. Using a variety of  
35 analytical approaches, we show that M1 does not exhibit such dynamics during grasping movements.  
36 Rather, the grasp-related neuronal dynamics in M1 are similar to their counterparts in somatosensory  
37 cortex, whose activity is driven primarily by afferent inputs rather than by intrinsic dynamics. The basic  
38 structure of the neuronal activity underlying hand control is thus fundamentally different from that  
39 underlying arm control.

**40 KEYWORDS**

41 Motor cortex, Motor control, Dynamical systems, Population dynamics, Hand, Grasp

**42 INTRODUCTION**

43 The responses of populations of neurons in primary motor cortex (M1) exhibit rotational dynamics –  
44 reflecting a neural oscillation at the population level – when animals make arm movements, including  
45 reaching and cycling (Churchland et al., 2012; Lara, Elsayed, et al., 2018; Russo et al., 2018; Shenoy et al.,  
46 2013). One interpretation of this population-level behavior is that M1 acts as a pattern generator that  
47 drives muscles to give rise to movement. A major question is whether such population dynamics reflect  
48 a general principle of M1 function, or whether they underlie some behaviors and effectors but not  
49 others. To address this question, we examined the dynamics in the neuronal population activity during  
50 grasping movements, which involve a plant (the hand) that serves a different function, comprises more  
51 joints, and is characterized by different mechanical properties (Rathelot and Strick, 2009). While the  
52 hand is endowed with many degrees of freedom, hand kinematics can be largely accounted for within a  
53 small subspace (Ingram et al., 2008; Overduin et al., 2015; Santello et al., 1998; Tresch and Jarc, 2009) so  
54 we might expect to observe low dimensional neural dynamics during hand movements, not unlike those  
55 observed during arm movements.

56 To test this, we recorded the neural activity in M1 using chronically implanted electrode arrays as  
57 monkeys performed a grasping task, restricting our analyses to responses before object contact (Figure  
58 1 – supplement 1). Animals were required to hold their arms still at the elbow and shoulder joints as a  
59 robotic arm presented each object to their contralateral hand. This task – which can be likened to  
60 catching a tossed object or grasping an offered one – limits proximal limb movements and isolates  
61 grasping movements. For comparison, we also examined the responses of M1 neurons during a center-  
62 out reaching task (Hatsopoulos et al., 2007). In addition, we compared grasping responses in M1 to their  
63 counterparts in somatosensory cortex (SCx), which is primarily driven by afferent input and therefore  
64 should not exhibit autonomous dynamics (Russo et al., 2018)

**65 RESULTS**

66 First, we used jPCA to search for rotational dynamics in a low-dimensional manifold of M1 population  
67 activity (Figure 1)(Churchland et al., 2012). Replicating previous findings, reaching was associated with a  
68 variety of different activity patterns at the single-neuron level (Figure 1A) that were collectively  
69 governed by rotational dynamics at the population level (Figure 1C,E). During grasp, individual M1  
70 neurons similarly exhibited a variety of different response profiles (Figure 1B), but rotational dynamics  
71 were weak or absent at the population level (Figure 1D,E).

72 Given the poor fit of rotational dynamics to neural activity during grasp, we next assessed whether  
73 activity could be described by a linear dynamical system of any kind. To test for linear dynamics, we fit a  
74 regression model using the first 10 principal components of the M1 population activity ( $x(t)$ ) to predict  
75 their rates of change ( $dx/dt$ ). We found  $x(t)$  to be far less predictive of  $dx/dt$  in grasp than in reach,  
76 suggesting much weaker linear dynamics in M1 during grasp (Figure 1F). We verified that these results  
77 were not an artifact of data alignment, movement epoch, peak firing rate, smoothing, population size,  
78 or number of behavioral conditions (Figure 1 – supplement 2).

79 The possibility remains that dynamics are present in M1 during grasp, but that they are higher-  
80 dimensional or more nonlinear than during reach. Indeed, M1 population activity during a reach-grasp-  
81 manipulate task is higher-dimensional than is M1 activity during reach alone (Rouse and Schieber, 2018).  
82 In light of this, we used Latent Factor Analysis via Dynamical Systems (LFADS) to infer and exploit latent  
83 dynamics and thereby improve estimation of single-trial firing rates, then applied a decoder to evaluate  
84 the level of improvement. Naturally, the benefit of LFADS is only realized if the neural population acts  
85 like a dynamical system. Importantly, such dynamics are minimally constrained and can, in principle, be  
86 arbitrarily high dimensional and/or highly nonlinear. First, as expected, we found that in both datasets,  
87 neural reconstruction of single trials improved with LFADS (Figure 2 – supplement 1A, B). However,  
88 LFADS yielded a significantly greater improvement in reconstruction accuracy for reach than for grasp  
89 ( $t(311) = 7.07$ ,  $p = 5.11e-12$ ; Figure 2 – Supplement 1). Second, a standard Kalman filter was used to  
90 decode joint angle kinematics from the inferred latent factors (Figure 2). If latent dynamics in M1 play a  
91 key role in the generation of temporal sequences of muscle activations, which in turn give rise to  
92 movement, LFADS should substantially improve kinematic decoding. Replicating previous results, we  
93 found decoding accuracy to be substantially improved for reaching when processing firing rates using  
94 LFADS (Figure 2A,C) ( $R^2 = 0.93$  and  $0.57$  with and without LFADS, respectively). In contrast, LFADS offered  
95 minimal improvement in accuracy when decoding grasping kinematics in two monkeys (Figure 2B,C) ( $R^2 =$   
96  $0.46$  and  $0.37$ ), regardless of the latent dimensionality of the model (Figure 2 – supplement 1C) or  
97 whether external inputs were included (Figure 2 – supplement 1D). These decoding results demonstrate  
98 that the strong dynamical structure seen in the M1 population activity during reach is not observed  
99 during grasp, even when dimensionality and linearity constraints are relaxed.

100 As a separate way to examine the neural dynamics in grasping responses, we computed a neural  
101 ‘tangling’ metric, which assesses the degree to which network dynamics are governed by a smooth and  
102 consistent flow field (Russo et al., 2018). In a smooth, autonomous dynamical system, neural trajectories  
103 passing through nearby points in state space should have similar derivatives. The tangling metric ( $Q$ )  
104 assesses the degree to which this is the case over a specified (reduced) number of dimensions. During  
105 reaching, muscle activity and movement kinematics have been shown to exhibit more tangling than  
106 does M1 activity, presumably because the cortical circuits act as a dynamical pattern generator whereas  
107 muscles are input-driven (Russo et al., 2018). We replicated these results for reaching: neural activity  
108 was much less tangled than the corresponding arm kinematics (position, velocity, and acceleration of  
109 joint angles)(Figure 3A), as long as the subspace was large enough ( $>2D$ ), Figure 3 – supplement 1). For  
110 grasp, however, M1 activity was as tangled as the corresponding hand kinematics, or even more so  
111 (Figure 3B), over all subspaces (Figure 3 – supplement 1). Next, we compared tangling in the grasp-  
112 related activity in M1 to its counterpart in SCx, which, as a sensory area, is expected to exhibit tangled  
113 activity (as shown during reaching movements (Russo et al., 2018)). Surprisingly, population activity  
114 patterns in both M1 and SCx were similarly tangled during grasp (Figure 3C). In summary, M1 responses  
115 during grasp do not exhibit the properties of an autonomous dynamical system, but rather are tangled  
116 to a similar degree as are sensory cortical responses (Figure 3D).

117 **DISCUSSION**

118 We find that M1 does not exhibit low-dimensional dynamics during grasp as it does during reach  
119 (Churchland et al., 2012), reach-to-grasp (Rouse and Schieber, 2018), or reach-like center-out pointing  
120 (Pandarinath et al., 2015). The difference between reach- and grasp-related neuronal dynamics seems  
121 to stem from the fundamentally different kinematics and functions of these movements, rather than  
122 from effector-specific differences, since dynamics are observed for reach-like finger movements. That  
123 rotational dynamics are observed in reach-to-grasp likely reflects the reaching component of the  
124 behavior, consistent with the observation that movement signals are broadcast widely throughout  
125 motor cortex (Musall et al., 2019; Stavisky et al., 2019; Willett et al., 2020).

126 Other factors might also explain the different dynamical profiles in M1 between reach and grasp. One  
127 might conjecture that M1 population dynamics are much higher dimensional and/or more nonlinear for  
128 grasp than for reach, which might explain our failure to detect dynamics in grasp-related M1 activity.  
129 However, both LFADS (Pandarinath et al., 2018) (Figure 3 – supplement 1) and the tangling metric  
130 (Figure 3 – supplement 1) can accommodate high-dimensional systems and some degree of nonlinearity  
131 in the dynamics. We verified that our failure to observe dynamics did not stem from a failure to  
132 adequately characterize a high-dimensional grasp-related response in M1 commensurate with the  
133 dimensionality of the movement (See “Dimensionality of the neuronal response” in the Methods, Figure  
134 3 – supplement 2). We cannot exclude the possibility that dynamics may be observed in a much higher  
135 dimensional space than we can resolve with our sample, one whose dimensionality far exceeds that of  
136 the movement itself. To test this hypothesis will require large-scale neural recordings obtained during  
137 grasping.

138 Another possibility is that M1 dynamics are under greater influence from extrinsic inputs for grasp than  
139 for reach: inputs can push neuronal activity away from the trajectories dictated by the intrinsic  
140 dynamics, thereby giving rise to tangling. M1 receives input from large swaths of the brain that each  
141 exhibit their own dynamics, including premotor cortex (Lara, Cunningham, and Churchland, 2018; Russo  
142 et al., 2020), posterior parietal cortex (Michaels et al., 2018), and motor thalamus (Sauerbrei et al.,  
143 2020), in addition to responding to somatosensory and visual inputs (Suminski et al., 2010). Our findings  
144 are consistent with the hypothesis that grasp involves more inputs to M1 than does reach, or that grasp-  
145 related inputs are more disruptive to the intrinsic dynamics in M1 than are their reach-related  
146 counterparts (Figure 2 – supplement 1).

147 Whatever the case may be, the low-dimensional linear dynamics observed in M1 during reaching are not  
148 present during grasping, consistent with an emerging view that the cortical circuits that track and  
149 control the hand differ from those that track and control the proximal limb (Goodman et al., 2019;  
150 Rathelot and Strick, 2009).

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#### 155 **DECLARATION OF INTERESTS**

156 NGH serves as a consultant for BlackRock Microsystems, Inc., the company that sells the multi-electrode  
157 arrays and data acquisition system used in this study.

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- 308



309 **FIGURE CAPTIONS**

310 **Figure 1. M1 rotational dynamics during reaching and grasping. A|** Normalized peri-event histograms  
 311 aligned to movement onset (black square) for 4 representative neurons during the reaching task  
 312 (Monkey 4, Dataset 5. Each shade of gray indicates a different reach direction, trial-averaged for each  
 313 reaching condition (8 total). **B|** Normalized peri-event histograms aligned to maximum aperture (black  
 314 square) for 4 representative neurons during the grasping task (Monkey 2, Dataset 2). Each shade of blue  
 315 indicates a neuron's response, trial-averaged for different object groups (see supplementary materials).  
 316 **C|** Rotational dynamics in the population response during reaching for Monkey 4 (Dataset 5) projected  
 317 onto the first jPCA plane. Different shades of gray denote different reach directions. **D|** Lack of similar  
 318 M1 rotational dynamics during grasping. Different shades of blue indicate different object groups, for  
 319 Monkey 2 (Dataset 2). **E|** FVE (fraction of variance explained) in the rate of change of neural PCs (dx/dt)  
 320 explained by the best fitting rotational dynamical system. The difference in FVE for reach and grasp is  
 321 significant (two-sample two-sided equal-variance  $t$ -test,  $t(16) = -19.44$ ,  $p=4.67e-13$ ). Error bars denote  
 322 standard error of the mean and data points represent the outcomes of cross-validation folds (across  
 323 conditions – see Methods) for each of two monkeys. **F|** FVE in the rate of change of neural PCs (dx/dt)  
 324 explained by the best fitting linear dynamical system, not constrained to be rotational. The difference in  
 325 FVE is highly significant (two-sample two-sided equal-variance  $t$ -test,  $t(16) = -21.37$   $p=1.57e-14$ ). Error  
 326 bars denote standard error of the mean and data points represent the outcomes of cross-validation  
 327 folds for each of two monkeys (4-fold for reaching data, and 5-fold for grasping data). The lack of  
 328 dynamical structure during grasping relative to reach is further established in a series of control analyses  
 329 (Figure 1 – supplement 1).

330 **Figure 2. Decoding of kinematics based on population activity pre-processed with Gaussian smoothing**  
 331 **or with LFADS. A|** End-point coordinates of center-out reaching with actual kinematics (top) or  
 332 kinematics reconstructed with neural data preprocessed with Gaussian smoothing (middle) or LFADS  
 333 (bottom). Coordinates are color-coded according to the 8 directions of movement. While conditions are  
 334 visually separable in both Gaussian and LFADS reconstructions, the later provides a smoother and more  
 335 reliable estimate. **B|** Single-trial time-varying angles of five hand joints (black, dashed) from monkey 3 as  
 336 it grasped 5 objects along with their decoded counterparts (Gaussian-smoothed in green, LFADS-  
 337 inferred in red). Both Gaussian-smoothed and LFADS-inferred firing rates yield similar decoding errors.  
 338 Here, “4mcp flexion” refers to flexion/extension of the fourth metacarpophalangeal joint; “5pip flexion”,  
 339 flexion/extension of the fifth proximal interphalangeal joint; and “1cmc flexion”, flexion/extension of  
 340 the first carpo-metacarpal joint. **C|** Difference in performance gauged by the coefficient of  
 341 determination between decoders with LFADS and Gaussian smoothing for reach (gray) and grasp (blue).  
 342 Each point denotes the mean performance increase across 10-fold cross-validation of all degrees of  
 343 freedom pooled across monkeys for reach (2 monkeys with 2 DoFs each) and grasp (2 monkeys with 22  
 344 and 29 DoFs, respectively). All decoders were fit using a population of 37 M1 neurons. LFADS leads to  
 345 significantly larger decoder performance improvement for reach than for grasp. Stars indicate  
 346 significance of a Mann-Whitney-Wilcoxon test for unmatched samples: \*\*\* - alpha of 0.001 for one-  
 347 sided alternative hypothesis.

348 **Figure 3. Tangling in reach and grasp. A|** Tangling metric (Q) for population responses in motor cortex  
 349 vs. Q for kinematics during reaching. Kinematic tangling is higher than neural tangling, consistent with  
 350 motor cortex acting as a pattern generation during reach. **B|** Q-M1 population vs. Q-kinematics for  
 351 grasping. Neural tangling is higher than kinematic tangling, which argues against pattern generation as  
 352 the dominant mode during grasp. **C|** Q-M1 population vs. Q-SCx population. Neural tangling is similar in  
 353 M1 and SCx. For plots A-C, each point represents the max Q value for a (trial-averaged) neural state at a  
 354 single time point and single task condition for one monkey (Monkey 1, Dataset 1). **D|** Log of Q-motor/Q-

355 kinematics of the arm during reach ( $K_A$ ), Q-motor/Q-kinematics of the hand during grasp ( $K_H$ ), and Q-  
356 motor/Q-sensory during grasp ( $N_s$ ). Each point represents the log-ratio for a single condition and time  
357 point (pooled across 2 monkeys each). Black bars denote the mean log-ratio. The differences between  
358 reaching-derived and grasping-derived log-ratios are significant and substantial (two-sample two-sided  
359 equal-variance t-test:  $K_H$  |  $t(2978)=-43$ ,  $p=1.03e-130$  ;  $N_s$  |  $t(2978)=-39$   $p=1.87e-121$ ). Tangling is  
360 insensitive to the precise dimensionality, provided it exceeds a minimum dimensionality (Figure 3 –  
361 supplement 1).

362

363 **SUPPLEMENTARY FIGURE CAPTIONS**

364 **Figure 1 – supplement 1: *Grasping Behavior and Neurophysiology.*** Related to Methods. A| Time course  
 365 of grasp task. Start of Movement, Maximum Aperture, and Grasp epochs were inferred based on hand  
 366 kinematics. Arrows indicate motion of the robot presenting the object or motion of the hand. B| Multi-  
 367 electrode arrays were used to record neuronal activity. C| Probability density of the range of motion,  
 368 where each instance is the difference between the maximum and minimum angle of a joint DOF during  
 369 a single trial. Instances are pooled across joint DOFs, sessions, and animals. D| Probability density of  
 370 mean joint angular speed, where each instance is the mean speed of a single joint degree of freedom  
 371 (DOF) during a single trial. E| Performance of a linear discriminant analysis to decode object identity on  
 372 the basis of hand posture (DOFs). Objects are most discriminable just before object contact (Grasp) but  
 373 are also discriminable well above chance long before contact is established (for example, at maximum  
 374 aperture). Trace indicates the mean, error bars the S.E.M. across monkeys. F| Scree plots, for both  
 375 reach- and grasp-related M1 responses used in the jPCA analysis, indicating the cumulative variance  
 376 explained by the first  $n$  principal components of neural activity. Principal components analysis was  
 377 applied to rate-normalized, trial-averaged, Gaussian-smoothed firing rates. G| Relationship between the  
 378 mean speed and mean range of motion for each DOF. Neither the mean joint angular speed (two-  
 379 sample equal-variance t-test ( $t(202780)=0.65$ ,  $p=0.51$ ) nor the joint angular range of motion  
 380 ( $t(202780)=1.8462$ ,  $p=.0649$ ) differs between reach and grasp. Moreover, the two DOFs tracked during  
 381 reach follow the same trend as joint DOFs during grasp ( $R^2 = 0.9820$ ). In other words, grasping and  
 382 reaching movements are associated with overlapping distributions of joint angular speeds and ranges of  
 383 motion. Panels A-E and G are reproduced from (Goodman et al., 2019).

384 **Figure 1 – supplement 2 : *Control analyses for reaching and grasping.*** Related to Figure 1. A| For  
 385 reaching: Cross-validated fraction of variance explained (FVE) in the rate of change of neural PCs (dx/dt)  
 386 explained by the linear dynamical system that best fit the data, with data aligned to target presentation  
 387 (target) or movement onset (movement). B| For grasping: Cross-validated FVE in the rate of change of  
 388 neural PCs (dx/dt) explained by the linear dynamical system that best fits the data, when the data are  
 389 aligned to a 500-ms window centered on object presentation (present), a 700-ms window centered on  
 390 movement onset (mov), and a 700-ms window centered on maximum aperture (max aperture). C|  
 391 Average peak firing rate across all neurons for arm (gray) and hand (blue) responses. Each point  
 392 indicates the mean peak rate for a single task condition within a single animal: for “arm”, this  
 393 constitutes 8 reaching directions across 2 animals; for “hand”, 35 objects across 2 animals. D| Average  
 394 neuronal modulation (90<sup>th</sup> percentile firing rate – 10<sup>th</sup> percentile firing rate, before normalization) for  
 395 arm (gray) and hand (blue) responses. Each point denotes the mean modulation across trials and  
 396 neurons for a single task condition within a single animal. E| Bootstrapped responses (55 neurons) vs.  
 397 full sample for reaching. F| Cross-validated FVE in the rate of change of neural PCs (dx/dt) explained by  
 398 the linear dynamical system that best fits the data when the grasping data are clustered into just a few  
 399 object groups (see methods). For 8 and 7 clusters, cross validation was achieved on a leave-one-out  
 400 basis. For 35 clusters, the standard 5-fold (leave-7-out) cross-validation was used. Difference between 8  
 401 clusters and 35 clusters is significant ( $p=.0008$ ) while difference between 7 clusters and 35 clusters is not  
 402 significant ( $p=0.57$ ). However, for both clustering methods, the difference between hand and arm  
 403 remains highly significant (8 clusters|  $p=2.5e-18$ ; 7 clusters |  $p=2.08e-19$ ). G| Cross-validated FVE for  
 404 rightward arm movements only compared to all arm movements (right and left). For all figures, except  
 405 where otherwise indicated, bar heights and solid lines represent the mean, shaded regions and error  
 406 bars represent standard error of the mean, and each data point represents the result of an individual  
 407 cross-validation fold for each of two monkeys. H| Cross-validated FVE across various smoothing kernels  
 408 (10 to 50 ms). Difference between arm and hand remains substantial regardless of smoothing. I|  
 409 Coefficient of variation (CV) of spike counts across trials within condition. Each point denotes the mean

410 CV across each condition for a single neuron, assessed over 100-ms bins around movement onset (at  
 411 250 ms). Results indicate that trial-to-trial variability in neuronal responses is stable over the trial and  
 412 similar for reach (top) and grasp (bottom).

413 **Figure 2 – supplement 1: Validation of LFADS.** A| Reconstruction of single trials with Gaussian  
 414 smoothing and LFADS for reach (top row) and grasp (bottom row). Leftmost column shows PSTHs for 8  
 415 conditions (color-coded) computed using all training trials. Middle and right columns show single-trial  
 416 PSTHs for test trials color-coded by condition computed with either gaussian smoothing or LFADS. B|  
 417 Improvement in the neural reconstruction (change in correlation coefficient) with LFADS compared to  
 418 Gaussian smoothing for reach (grey) and grasp (blue). Red horizontal lines denote the respective means.  
 419 Stars indicate significance of two-sample, one-sided t-test ( $\alpha = 0.001$ ). C| Difference in performance  
 420 between decoders based on LFADS and Gaussian smoothing ( $\Delta R^2$ ) for reach (grey) and grasp (blue)  
 421 as a function of latent dimensionality (i.e. number of inferred factors) in the LFADS model. Error bars  
 422 denote the standard error of the mean for all reconstructed joints pooled from across monkeys. All  
 423 decoders were trained using a population of 37 M1 neurons. Decoder performance increase with LFADS  
 424 was significantly larger for reach than for grasp with as few as 5 dimensions. Stars indicate significance  
 425 of a one-sided Mann-Whitney-Wilcoxon test for unmatched samples ( $\alpha = 0.001$ ). Differences are  
 426 significant for dimensionalities greater than 5. D| Comparison of LFADS with (ordinate) and without  
 427 (abscissa) the assumption of external inputs to the dynamical system of grasp. In LFADS with inputs, we  
 428 relaxed the assumption of autonomy and allowed two controllers to perturb the internal dynamics. Each  
 429 point denotes the mean  $R^2$  for each of 22 DoF of Monkey 3 in Dataset 3 (grasp 1, light blue) and 29 DoF  
 430 of Monkey 1 in Dataset 4 (grasp 2, dark blue). Stars indicate significance of paired-sample one-sided  
 431 Wilcoxon signed rank test ( $\alpha = 0.001$ ).

432 **Figure 3 – supplement 1: Tangling vs. dimensionality.** Left panels correspond to Monkey 1 (Dataset 1),  
 433 right panels correspond to Monkey 2 (Dataset 2). A| Tangling metric ( $90^{\text{th}}$  percentile of Q, see Methods)  
 434 vs. number of dimensions used to compute Q for reaching. Q values derived from motor cortical  
 435 responses are shown in dark gray, Q values derived from kinematics are shown in light gray. Arm  
 436 kinematics exhibit consistently higher tangling than do the corresponding population responses in motor  
 437 cortex. B| Tangling metric vs. number of dimensions used to compute Q for grasp. Q values derived  
 438 from motor cortical responses are shown in blue, Q-values derived from hand kinematics are shown in  
 439 green. When Q has leveled off for the kinematic and neural data ( $\sim 20$  dimensions), neuronal trajectories  
 440 in motor cortex exhibit higher tangling than do the corresponding hand kinematic trajectories. C|  
 441 Tangling metric vs. number of dimensions used to compute Q for reaching in motor and somatosensory  
 442 cortex. Q-values derived from motor cortical responses are shown in blue, those derived from  
 443 somatosensory responses are shown in orange. Grasp-related responses in M1 and SCx exhibit similar  
 444 tangling.

445 **Figure 3 – supplement 2: Dimensionality of grasp-related neuronal responses.** The first monkey (Dataset  
 446 1) performed a distinct grasp for nearly every object while the second monkey (Dataset 2) grasped many  
 447 objects using very similar grasps, as evidenced by the fact that we could classify objects based on pre-  
 448 contact hand posture with 84% accuracy for the first monkey and 33% accuracy for the second. These  
 449 differences in the complexity of manual behaviors were reflected in the complexity of the associated  
 450 neuronal responses. A| Classification of grasped object based on the population response projected on  
 451 progressively smaller subspaces – removing high-variance principal components first – remained above  
 452 chance even after dozens of PCs were removed. B| Continuous decoding of kinematics based on the  
 453 population response projected on progressively smaller subspaces also remained above chance after  
 454 removal of dozens of PCs. Classification and decoding performance is well above chance with over 20  
 455 dimensions removed, indicating that low-variance PCs still carry information about the behavior.

456 Importantly, while the dimensionality of the response is systematically higher for the first monkey than  
457 it is for the second, dynamical systems analyses of both data sets yield identical conclusions. Chance  
458 performance was computed by randomly shifting spikes within each trial (preserving the spike count)  
459 and applying the Kalman filter to the shuffled spike trains.

460 **SUPPLEMENTARY TABLE CAPTIONS**461 **Table 1.** Datasets and related analyses and figures.

462

## 463 METHODS

### 464 *Behavior and neurophysiological recordings for grasping task*

465 We recorded single-unit responses in the primary motor and somatosensory cortices (M1 and SCx) of  
466 two monkeys (*Macaca mulatta*) (M1:  $N_1 = 58$ ,  $N_2 = 53$  | SCx:  $N_1 = 26$ ,  $N_2 = 28$ ) as they grasped each of 35  
467 objects an average of 10 times per session. We refer to these recordings as Dataset 1 and Dataset 2,  
468 which were recorded from Monkey 1 and Monkey 2, respectively. Neuronal recordings were obtained  
469 across 6 and 9 sessions, respectively, and are used in the jPCA and tangling analyses. We also recorded  
470 simultaneously from populations of neurons in M1 in two monkeys ( $N_3 = 44$ ,  $N_4 = 37$ ) during a single  
471 session of this same task. These are called, respectively, Dataset 3 and Dataset 4. The first of these ( $N_3$ )  
472 was recorded from a third Monkey, Monkey 3; the second population of simultaneously recorded  
473 neurons ( $N_4$ ) was obtained from the same monkey (Monkey 1) as the first set of sequentially recorded  
474 neurons ( $N_1$ ). The recordings in Monkey 1 were achieved with different arrays and separated by 3 years.  
475 Simultaneously-recorded populations were used for the decoding analyses.

476 On each trial (Figure 1 – supplement 1), one of 25 objects was manually placed on the end of an  
477 industrial robotic arm (MELFA RV-1A, Mitsubishi Electric, Tokyo, Japan). After a 1-3 second delay,  
478 randomly drawn on a trial-by-trial basis, the robot translated the object toward the animal's stationary  
479 hand. The animal was required to maintain its arms in the primate chair for the trial to proceed: if light  
480 sensors on the arm rest became unobstructed before the robot began to move, the trial was aborted.  
481 We also confirmed that the animal produced minimal proximal limb movement by inspecting videos of  
482 the experiments and from the reconstructed kinematics. The object began 12.8 cm from the animal's  
483 hand and followed a linear trajectory toward the hand at a constant speed of 16 cm/s for a duration of  
484 800 ms. As the object approached, the animal shaped its hand to grasp it. Some of the shapes were  
485 presented at different orientations, requiring a different grasping strategy, yielding 35 unique "objects".  
486 Each object was presented eight to eleven times in a given session.

487 The timing of start of movement, maximum aperture, and grasp events were inferred on the basis of the  
488 recorded kinematics. A subset of trials from each session were manually scored for each of these three  
489 events. On the basis of these training data, joint angular kinematic trajectories spanning 200 ms before  
490 and after each frame were used as features to train a multi-class linear discriminant classifier to  
491 discriminate among these four classes: all three events of interest and "no event". Log likelihood ratio  
492 was used to determine which "start of movement", "maximum aperture", and "grasp" times were most  
493 probable relative to "no event". Events were sequentially labeled for each trial to enforce the constraint  
494 that start of movement precedes maximum aperture, and maximum aperture precedes grasp. The  
495 median interval between the start of movement and maximum aperture was  $450 \pm 85$  ms (median  $\pm$   
496 interquartile range) for Monkey 1 (across both sets of recordings),  $240.0 \pm 10.0$  ms for Monkey 2, and  
497  $456 \pm 216$  ms for Monkey 3. The interval between maximum aperture and grasp was  $356 \pm 230$  ms for  
498 Monkey 1,  $410 \pm 160$  ms for Monkey 2, and  $274 \pm 145$  ms for Monkey 3. Total grasp times from start of  
499 movement to grasp were  $825 \pm 280$  ms for Monkey 1,  $650 \pm 170$  ms for Monkey 2, and  $755 \pm 303$  ms for  
500 Monkey 3.

501 Neural recordings were obtained from two monkeys ( $N_1$  and  $N_2$ ) using semi-chronic electrode arrays  
502 (SC96 arrays, Gray Matter Research, Bozeman, MT) (Dotson et al., 2017) (Figure 1 – supplement 1).  
503 Electrodes, which were individually depth-adjustable, were moved to different depths on different  
504 sessions to capture new units. Units spanning both M1 and SCx were recorded using these arrays, and  
505 SCx data comprise populations from both proprioceptive subdivisions of SCx, namely, Brodmann's areas  
506 3a and 2. Simultaneous neural recordings were obtained from one monkey ( $N_3$ ) using a combination of  
507 Utah electrode arrays (UEAs, Blackrock Microsystems, Inc., Salt Lake City, UT) and floating  
508 microelectrode arrays (FMAs, Microprobes for Life Science, Gaithersburg, MD) targeting rostral and

509 caudal subdivisions of the hand representation of M1, respectively. In the other monkey ( $N_4$ ),  
 510 simultaneous population recordings were obtained using a single 64-channel Utah array targeting the  
 511 hand representation of (rostral) M1. Single units from all sessions (treated as distinct units) were  
 512 extracted using an Offline Sorter (Plexon Inc., Dallas TX). Units were identified based on inter-spike  
 513 interval distribution and waveform shape and size.

514 Hand joint kinematics, namely the angles and angular velocities about all motile axes of rotation in the  
 515 joints of the wrist and digits, were tracked at a rate of 100 Hz by means of a 14-camera motion tracking  
 516 system (MX-T series, VICON, Los Angeles, CA). The VICON system tracked the three-dimensional  
 517 positions of the markers, and time-varying joint angles were computed using inverse kinematics based  
 518 on a musculoskeletal model of the human arm ([https://simtk.org/projects/ulb\\_project](https://simtk.org/projects/ulb_project)) (Anderson and  
 519 Pandy, 2001, 1999; de Leva, 1996; Delp et al., 1990; Dempster and Gaughran, 1967; Holzbaur et al.,  
 520 2005; Yamaguchi and Zajac, 1989) implemented in Opensim  
 521 ([https://simtk.org/frs/index.php?group\\_id=91](https://simtk.org/frs/index.php?group_id=91)) (Delp et al., 2007) with segments scaled to the sizes of  
 522 those in a monkey limb using Opensim's built-in scaling function. Task and kinematic recording methods  
 523 are reported in an earlier publication (Goodman et al., 2019). We used a linear discriminant classifier as  
 524 detailed in this previous publication to determine whether objects indeed evoked distinct kinematics  
 525 (Figure 1 – supplement 1).

526 All surgical, behavioral, and experimental procedures conformed to the guidelines of the National  
 527 Institutes of Health and were approved by the University of Chicago Institutional Animal Care and Use  
 528 Committee.

### 529 ***Behavior and neurophysiological recordings for reaching task***

530 To compare grasp to reach, we analyzed previously-published single- and multi-unit responses from two  
 531 additional M1 populations (M1:  $N_5 = 76$ ,  $N_6 = 107$ ) recorded from two additional monkeys (Monkeys 4  
 532 and 5, respectively) operantly trained to move a cursor in a variable delay center out reaching task  
 533 (Hatsopoulos et al., 2007). These recordings are called, respectively, Dataset 5 and Dataset 6. The  
 534 monkey's arm rested on cushioned arm troughs secured to links of a two-joint exoskeletal robotic arm  
 535 (KINARM system; BKIN Technologies, Kingston, Ontario, Canada) underneath a projection surface. The  
 536 shoulder and elbow joint angles were sampled at 500 Hz by the motor encoders of the robotic arm, and  
 537 the  $x$  and  $y$  positions of the hand were computed using the forward kinematic equations. The center-out  
 538 task involved movements from a center target to one of eight peripherally positioned targets (5 to 7 cm  
 539 away). Targets were radially defined, spanning a full 360 degree rotation about the central target in 45  
 540 degree increments. Each trial comprised two epochs: first, an instruction period lasting 1 to 1.5 s, during  
 541 which the monkey held its hand over the center target to make the peripheral target appear; second, a  
 542 "go" period, cued by blinking of the peripheral target, which indicated to the monkey that it could begin  
 543 to move toward the target. Following the "go" cue, movement onset was  $324 \pm 106$  ms (median  $\pm$   
 544 interquartile range) for Monkey 4 in dataset 5, and  $580 \pm 482$  ms for Monkey 5 in dataset 6. Total  
 545 movement duration was  $516 \pm 336$  ms for Monkey 4 in dataset 5 and  $736 \pm 545$  ms for Monkey 5 in  
 546 dataset 6. Single- and multi-unit activity was recorded from each monkey during the course of a single  
 547 session using a UEA implanted in the upper limb representation of contralateral M1. All surgical,  
 548 behavioral, and experimental procedures conformed to the guidelines of the National Institutes of  
 549 Health and were approved by the University of Chicago Institutional Animal Care and Use Committee.

550 Information about all grasping and reaching datasets and their associated analyses is provided in Table 1  
 551 of Supplementary File 1.

### 552 ***Differences between reach and grasp and their potential implications for population dynamics***



553 In this section, we discuss differences between the reach and grasp tasks that might have had an impact  
554 on the neuronal dynamics.

555 First, movements were cued differently in the two tasks. For reaching, targets blinked to cue movement.  
556 For grasping, there was no explicit movement cue; rather, the animals could begin pre-shaping their  
557 hand as soon as the robot began to move, though they had to wait for the object to reach the hand to  
558 complete their grasp and obtain a reward. Nonetheless, we found that the delay between the beginning  
559 of the robot's approach and hand movement onset (median  $\pm$  interquartile range: Monkey 1 –  $271 \pm$   
560  $100$  ms; Monkey 2 –  $419 \pm 101$  ms; numbers not available for Monkey 3) was similar to the delay in the  
561 reaching task between the go cue and start of movement. Note, moreover, that the nature of the  
562 "delay" period should have little effect on neuronal dynamics. Indeed, self-initiated reaches and those  
563 that are executed rapidly with little to no preparation are nonetheless associated with rotational M1  
564 dynamics (Lara, Elsayed, et al., 2018).

565 Second, the kinematics of reaching and grasping are quite different, and differences in the respective  
566 ranges of motion or speeds could mediate the observed differences in neuronal dynamics. However, the  
567 ranges of motion and distribution of speeds were similar for reach and grasp (Figure 1 supplement 1C-  
568 D,G), suggesting that the observed differences in neuronal dynamics are not trivial consequences of  
569 differences in the kinematics. On a related note, grasping movements with no reach (lasting roughly 700  
570 ms) were generally slower than those reported in in the context of reach (lasting roughly 300 ms)  
571 (Bonini et al., 2014; Chen et al., 2009; Lehmann and Scherberger, 2013; Rouse and Schieber, 2015; Roy  
572 et al., 2000; Theverapperuma et al., 2006), as the animals had to wait for the robot to transport the  
573 object to their hand. Note, however, that similar constraints on movement duration and speed during  
574 reaching do not affect the presence or nature of M1 rotational dynamics during those movements  
575 (Churchland et al., 2012). As such, speed differences should not lead to qualitatively different M1  
576 population dynamics.

577 Third, we considered whether grasping without reaching might simply be too "unnatural" to be  
578 controlled by stereotyped M1 dynamics. However, we observed the presence of two hallmarks of  
579 grasping behavior: a clearly-defined maximum aperture phase and the presence of hand pre-shaping  
580 (Jeannerod, 1984, 1981; Santello et al., 2002; Santello and Soechting, 1998). The latter is evidenced by a  
581 gradual improvement in our ability to classify objects based on the kinematics they evoke as the trial  
582 proceeded (Figure 1 – supplement 1E): Upon start of movement, the hand is in a generic configuration  
583 that is independent of the presented object. However, as the trial proceeds, hand kinematics become  
584 increasingly object-specific, culminating in a high classification performance just before object contact.  
585 Furthermore, grasping kinematics have been previously shown to be robust to different types of reaches  
586 (Wang and Stelmach, 1998).

## 587 **Data Analysis**

### 588 Data pre-processing

589 For both reach and grasp, neuronal responses were aligned to the start of movement, resampled at 100  
590 Hz so that they would be at the same temporal resolution, averaged across trials, then smoothed by  
591 convolution with a Gaussian (25 ms S.D.). For jPCA, we then followed the data pre-processing steps  
592 described in Churchland et al. 2012: normalization of individual neuronal firing rates, subtraction of the  
593 cross-condition mean peri-event time histogram (PETH) from each neuron's response in each condition,  
594 and applying principal component analysis (PCA) to reduce the dimensionality of the population  
595 response. For LFADS and the tangling analyses, only the normalization of neurons' firing rates was  
596 performed. Although the condition-invariant response varies in a meaningful way (Kaufman et al., 2016),  
597 its inclusion obstructs our ability to use jPCA to visualize neural trajectories whose initial conditions vary,

598 and thus our ability to use jPCA to evaluate claims of dynamical structure. Even when this component is  
599 especially large, dynamical structure in the remaining condition-dependent neural activity has been  
600 observed (Rouse and Schieber, 2018), thus subtraction of even a large condition-independent response  
601 should permit the inference of neural dynamics. We used 10 dimensions instead of six (cf. Churchland et  
602 al. 2012) as a compromise between the lower-dimensional reach data and the higher-dimensional grasp  
603 data.

#### 604 jPCA

605 We applied to the population data (reduced to 10 dimensions by PCA) a published dimensionality  
606 reduction method, jPCA (Churchland et al., 2012), which finds orthonormal basis projections that  
607 capture rotational structure in the data. We used a similar number of dimensions for both reach and  
608 grasp, as PCA revealed no stark differences in the effective dimensionality of the neural population  
609 between the two tasks (Figure 1 – supplement 1F). With jPCA, the neural state is compared with its  
610 derivative, and the strictly rotational dynamical system that explains the largest fraction of variance in  
611 that derivative is identified. The delay periods between the presentation/go-cue for each monkey  
612 varied, along with the reaction times, so we selected a single time interval (averaging 700 ms) that  
613 maximized rotational variance across all of them. For the reach data, data were aligned to the start of  
614 movement and the analysis window was centered on this event, whereas for the grasp data, data were  
615 aligned to maximum hand aperture, and we analyzed the interval centered on this event. In some cases,  
616 the center of this 700-ms window was shifted between -350 ms to +350 ms relative to the alignment  
617 event to obtain an estimate of how rotational dynamics change over the course of the trial (e.g., Figure  
618 1 - supplement 2). These events were chosen for alignment as they were associated with both the  
619 largest peak firing rates and the strongest rotational dynamics. Other alignment events were also tested,  
620 to test robustness (Figure 1 – supplement 2B).

#### 621 Object clustering

622 Each of the 35 objects was presented 10 times per session, which yields a smaller number of trials per  
623 condition than were used to assess jPCA during reaching (at least 40). To permit pooling across a larger  
624 number of trials when visualizing and quantifying population dynamics with jPCA (Figure 1), objects in  
625 the grasp task were grouped into eight object clusters on the basis of the trial-averaged similarity of  
626 hand posture across all 30 joint degrees of freedom 10 ms prior to grasp (i.e., object contact). Objects  
627 were hierarchically clustered into 8 clusters on the basis of the Ward linkage function (MATLAB  
628 `clusterdata`). Eight clusters were chosen to match the number of conditions in the reaching task.  
629 Cluster sizes were not uniform; the smallest comprised 2 and the largest 9 different objects, with the  
630 median cluster comprising 4 objects.

631 As the clustering method just described yielded different cluster sizes, we assessed an alternative  
632 clustering procedure (Figure 1 -supplement 2F) that guaranteed objects were divided into 7 equally-  
633 sized clusters (5 objects per cluster). Rather than determining cluster membership on the basis of a  
634 linkage threshold, cluster linkages were instead used to sort the objects on the basis of their  
635 dendrogram placements (MATLAB `dendrogram`). Clusters were obtained by grouping the first five  
636 objects in this sorted list into a common cluster, then the next five, and so on. This resulted in slightly  
637 poorer performance of jPCA (see *Quantification*).

638 For completeness, we also assessed jPCA without clustering (Figure 1 – supplement 2E) which also  
639 resulted in slightly poorer performance of jPCA and was considerably more difficult to visualize given the  
640 large number of conditions.

#### 641 Quantification

642 In a linear dynamical system, the derivative of the state is a linear function of the state. We wished to  
 643 assess whether a linear dynamical system could account for the neural activity. To this end, we first  
 644 produced a de-noised low-dimensional neural state ( $X$ ) by reducing the dimensionality of the neuronal  
 645 responses to 10 using PCA. Second, we numerically differentiated  $X$  to estimate the derivative,  $\dot{X}$ . Next,  
 646 we used regression to fit a linear model, predicting the derivative of the neuronal state from the current  
 647 state:  $\dot{X} = MX$ . Finally, we computed the fraction of variance explained (FVE) by this model:

$$FVE = 1 - \frac{\|\dot{X} - MX\|_{fro}^2}{\|\dot{X} - \langle \dot{X} \rangle\|_{fro}^2} \quad (1)$$

648  $M$  was constrained to be skew-symmetric ( $M_{skew}$ ) unless otherwise specified;  $\langle \cdot \rangle$  indicates the mean of a  
 649 matrix across samples, but not across dimensions; and  $\|\cdot\|_{fro}$  indicates the Frobenius norm of a matrix.  
 650 Unless otherwise specified, analysis of reaching data from each monkey was 4-fold cross-validated,  
 651 whereas analysis of grasp data was 5-fold cross-validated.

#### 652 Control comparisons between arm and hand data

653 We performed several controls comparing arm and hand data to ensure that our results were not an  
 654 artifact of trivial differences in the data or pre-processing steps.

655 First, we considered whether alignment of the data to different events might impact results. For the arm  
 656 data, we aligned each trial to target onset and movement onset (Figure 1 - supplement 2A). For the  
 657 hand data, we aligned each trial to presentation of the object, movement onset, and the time at which  
 658 the hand reached maximum aperture during grasp (Figure 1 – supplement 2B). Linear dynamics were  
 659 strongest (though still very weak) when neuronal responses were aligned to maximum aperture, so this  
 660 alignment is reported throughout the main text.

661 Second, we assessed whether rotations might be obscured due to differences in firing rates in the hand  
 662 vs. arm responses. To this end, we compared peak firing rates for trial-averaged data from the arm and  
 663 hand after pre-processing (excluding normalization) to directly contrast the inputs to the jPCA analysis  
 664 given the two effectors/tasks (Figure 1 – supplement 2C). Peak firing rates were actually higher for the  
 665 hand than the arm, eliminating the possibility that our failure to observe dynamics during grasp was a  
 666 consequence of weak responses. We also verified that differences in dynamics could not be attributed  
 667 to differences in the degree to which neurons were modulated in the two tasks. To this end, we  
 668 computed the modulation range (90<sup>th</sup> percentile firing – 10<sup>th</sup> percentile firing) and found that  
 669 modulation was similar in reach and grasp (Figure 1 – supplement 2D).

670 Third, we assessed whether differences in the sample size might contribute to differences in variance  
 671 explained (Figure 1 – supplement 2E). To this end, we took five random samples of 55 neurons from the  
 672 reaching data set – chosen to match the minimum number of neurons in the grasping data – and  
 673 computed the cross-validated fraction of variance explained by the rotational dynamics. The smaller  
 674 samples yielded identical fits as the full sample.

675 Fourth, we assessed whether the low variance explained by linear dynamics in the hand might be due to  
 676 poor sampling of the joint motion space (Figure 1 – supplement 2G). To this end, we computed FVE for  
 677 only rightward reaches, and found that the variance explained for all directions versus only rightward  
 678 reaches were comparable. Therefore, we expect that our sampling of hand motions would not affect our  
 679 ability to observe linear dynamics.

680 Fifth, we considered whether our smoothing kernel might impact results (Figure 1 – supplement 2H).  
 681 We compared the FVE for the optimal linear dynamical system across various smooth kernels – from 5  
 682 to 40 ms – and found that the difference between hand and arm dynamics remains substantial  
 683 regardless of kernel width.

684 Finally, since our analyses involve averaging across trials, we assessed whether trial-to-trial variability  
 685 was different for reach and grasp. To this end, we computed for each neuron the coefficient of variation  
 686 (CV) of spike counts over 100-ms bins around movement onset. We found the trial-to-trial variability to  
 687 be stable over the trial and similar for reach and grasp (Figure 1 – supplement 2I).

## 688 *Decoding*

### 689 Preprocessing

690 For decoding, we preprocessed the neural data using one of two methods: smoothing with a Gaussian  
 691 kernel ( $\sigma = 20$  ms) or latent factor analysis via dynamical systems (LFADS) (Pandarinath et al., 2018).  
 692 LFADS is a generative model that assumes that observed spiking responses arise from an underlying  
 693 dynamical system and estimates that system using deep learning. We used the same number of neurons  
 694 in the reaching and grasping analyses to train the LFADS models and fixed the number of factors in all  
 695 models to 30, at which performance of both reach and grasp models had levelled off (Figure 2 –  
 696 supplement 1A). We allowed two continuous controllers while training the model, which could  
 697 potentially capture the influence of external inputs on dynamics (Pandarinath et al., 2018), since these  
 698 had significant positive influence on decoding performance (Figure 2 – supplement 1B). Hyper-  
 699 parameter tuning was performed as previously described (Keshtkaran and Pandarinath, 2019).

### 700 Neural reconstruction

701 To compare our ability to reconstruct single-trial responses using Gaussian smoothing and LFADS, we  
 702 first computed peri-event time histograms (PETHs) within condition using all training trials (excluding  
 703 one test trial). We then computed the correlation between the firing rates of each test trial (smoothed  
 704 with a Gaussian kernel or reconstructed with LFADS) with the PETH of the corresponding condition  
 705 averaged across the training trails (Figure 2 – Supplement 1A). We repeated this procedure with a  
 706 different trial left out for each condition. We report the difference in correlation coefficient obtained  
 707 after LFADS processing and Gaussian smoothing (Figure 2 – Supplement 1B).

### 708 Kalman Filter

709 To predict hand and arm kinematics, we applied the Kalman filter (Kalman, 1960), commonly used for  
 710 kinematic decoding (Menz et al., 2015; Okorokova et al., 2020; Wu et al., 2004). In this approach,  
 711 kinematic dynamics can be described by a linear relationship between past and future states:

$$x_t = Ax_{t-1} + v_t \quad (3)$$

712 where  $x_t$  is a vector of joint angles at time  $t$ ,  $A$  is a state transition matrix, and  $v_t$  is a vector of random  
 713 numbers drawn from a Gaussian distribution with zero mean and covariance matrix  $V$ . The kinematics  $x_t$   
 714 can be also explained in terms of the observed neural activity  $z_{t-\Delta}$ :

$$x_t = Bz_{t-\Delta} + w_t \quad (4)$$

715 Here,  $z_{t-\Delta}$  is a vector of instantaneous firing rates across a population of M1 neurons at time  $t - \Delta$ ,  
 716 preprocessed either with Gaussian kernel or LFADS,  $B$  is an observation model matrix, and  $w_t$  is a  
 717 random vector drawn from a Gaussian distribution with zero mean and covariance matrix  $W$ . We tested  
 718 multiple values of the latency,  $\Delta$ , and report decoders using the latency that maximized decoder  
 719 accuracy (150 ms).

720 We estimated the matrices  $A, B, V, W$  using linear regression on each training set, and then used those  
 721 estimates in the Kalman filter update algorithm to infer the kinematics of each corresponding test set  
 722 (see <sup>50,51</sup> for details). Briefly, at each time  $t$ , kinematics were first predicted using the state transition  
 723 equation (3), then updated with observation information from equation (4). Update of the kinematic

724 prediction was achieved by a weighted average of the two estimates from (3) and (4): the weight of each  
 725 estimate was inversely proportional to its uncertainty (determined in part by  $V$  and  $W$  for the estimates  
 726 based on  $x_{t-1}$  and  $z_{t-\Delta}$ , respectively), which changed as a function of time and was thus recomputed for  
 727 every time step.

728 To assess decoding performance, we performed 10-fold cross-validation in which we trained the  
 729 parameters of the filter on a randomly selected 90% of the trials and tested the model using the  
 730 remaining 10% of trials. Importantly, we trained separate Kalman filters for the two types of neural  
 731 preprocessing techniques (Gaussian smoothing and LFADS) and then compared their performance on  
 732 the same trials. Performance was quantified using the coefficient of determination ( $R^2$ ) for the held-out  
 733 trials across test sets.

#### 734 *Tangling*

735 We computed tangling of the neural population data (reduced to 20 dimensions by PCA) using a  
 736 published method (Russo et al., 2018). In brief, the tangling metric estimates the extent to which neural  
 737 population trajectories are inconsistent with what would be expected if they were governed by an  
 738 autonomous dynamical system, with smaller values indicating consistency with such dynamical  
 739 structure. Specifically, tangling measures the degree to which similar neural states, either during  
 740 different movements or at different times for the same movement, are associated with different  
 741 derivatives. This is done by finding, for each neural state (indexed by  $t$ ), the maximum value of the  
 742 tangling metric  $Q(t)$  across all other neural states (indexed by  $t'$ ):

$$Q(t) = \max_{t'} \frac{\|\dot{x}_t - \dot{x}_{t'}\|^2}{\|x_t - x_{t'}\|^2 + \varepsilon} \quad (2)$$

743 Here,  $x_t$  is the neural state at time  $t$  (a 20-dimensional vector containing the neural responses at that  
 744 time),  $\dot{x}_t$  is the temporal derivative of the neural state (estimated numerically), and  $\|\cdot\|$  is the Euclidean  
 745 norm, while  $\varepsilon$  is a small constant added for robustness to noise (Russo et al., 2018). This analysis is not  
 746 constrained to work solely for neural data; indeed, we also apply this same analysis to trajectories of  
 747 joint angular kinematics to compare their tangling to that of neural trajectories.

748 The neural data were pre-processed using the same alignment, trial averaging, smoothing, and  
 749 normalization methods described above. Joint angles were collected for both hand and arm data. For  
 750 this analysis, joint angle velocity and acceleration were computed (six total dimensions for arm, 90  
 751 dimensions for hand). For reaching, we analyzed the epoch from 200 ms before to 100 ms after  
 752 movement onset. For grasping, we analyzed the epoch starting 200 ms before to 100 ms after maximum  
 753 aperture. Neuronal responses were binned in 10 ms bins to match the sampling rate of the kinematics.

754 The tangling metric is partially dependent on the dimensionality of the underlying data. To eliminate the  
 755 possibility that our results were a trivial consequence of selecting a particular number of principal  
 756 components, we tested tangling at different dimensionalities and selected the dimensionality at which  $Q$   
 757 had largely leveled off for both the population neural activity and kinematics (Figure 3 – supplement 1).  
 758 Namely, we report results using 6 principal components (the maximum) for reach kinematics and their  
 759 associated neural responses, and using 20 for kinematics and neuronal responses during grasp.

#### 760 *Dimensionality of the neuronal response*

761 One possibility is that our failure to observe autonomous dynamics during grasp stems from a failure to  
 762 properly characterize the neural manifold, which in principle could be much higher dimensional for  
 763 grasp than it is for reach. However, the first  $D$  dimensions of a manifold can be reliably estimated from  
 764 fewer than  $2 \cdot D$  projections if two conditions hold: the eigenvalue spectrum is not flat, and the samples  
 765 approximate random projections of the underlying manifold (Halko et al., 2011). The scree plot shows

766 that the first condition is met (Figure 1 – supplement 1F). To evaluate the second condition and  
767 determine whether neurons are random projections of the low-dimensional manifold, we applied a  
768 Gine-Ajne test (Prentice, 1978) to the first 5, 10, and 20 PCs. We found that the null hypothesis of  
769 spherical uniformity was not rejected ( $p > 0.5$  for all dimensionalities and data sets). While we cannot rule  
770 out that the possibility that there exists a small, unrecorded fraction of neurons that span a disjoint  
771 manifold subspace from that we measured, the failure to reject spherical uniformity provides evidence  
772 that these neurons approximate random projections. To further examine the possibility that dynamics  
773 occupy a space that we were unable to resolve with our neuronal sample, we implemented LFADS with  
774 a different number of latent factors. We found that, to the extent that decoding performance improved  
775 with additional latent factors, it levelled off at  $\sim 10$  factors (Figure 2 – supplement 1). If the dynamics  
776 were distributed over a high-dimensional manifold, we might expect that performance would increase  
777 slowly with the number of latent factors over the entire range afforded by the sample size. This was not  
778 the case.

779 Yet another possibility we considered is that the neuronal manifold beyond the first few dimensions  
780 reflects noise, which would preclude the identification of dynamics embedded in higher order  
781 dimensions. To examine this possibility, we assessed our ability to relate the monkeys' behavior during  
782 the grasp task to the neural data over subsets of dimensions. First, we found that the ability to classify  
783 objects based on the population response projected on progressively smaller subspaces – removing  
784 high-variance principal components first – remained above chance even after dozens of PCs were  
785 removed. This suggests that behaviorally relevant neuronal activity was distributed over many  
786 dimensions, and that this signal clearly rose above the noise (Figure 3 - supplement 2A). For this  
787 analysis, we used multiclass linear discriminant analysis based on population responses evoked over a  
788 150-ms window before object contact. Second, we found that the ability to decode kinematics based on  
789 the population response projected on progressively smaller subspaces remained above chance after  
790 removal of many PCs, consistent with the classification analysis (Figure 3 – supplement 2B). For this  
791 analysis, we used population responses over an 800-ms window centered on maximum aperture for  
792 reaching and movement onset for grasping. Thus, high-order PCs do not simply reflect noise but rather  
793 comprise behaviorally relevant signals.

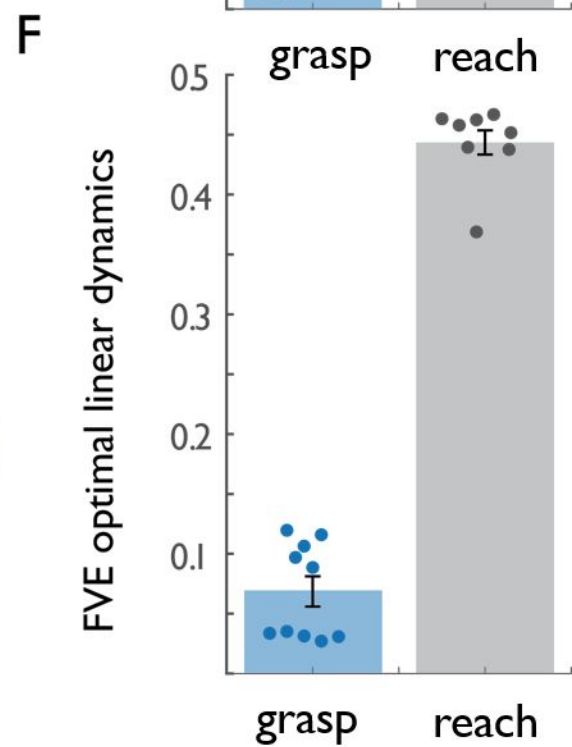
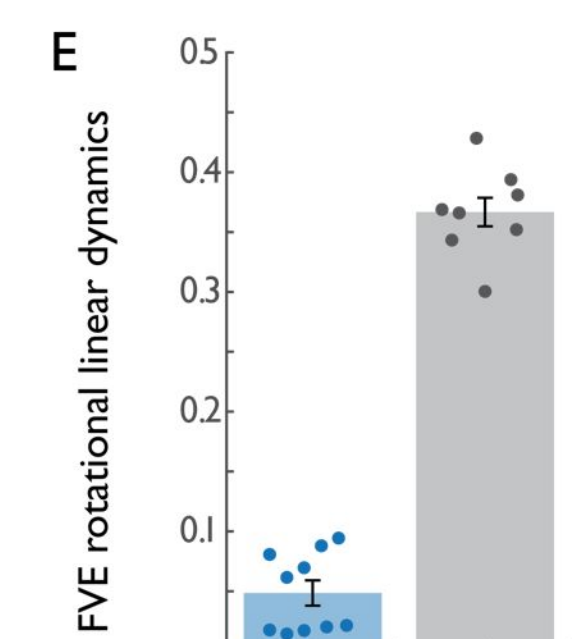
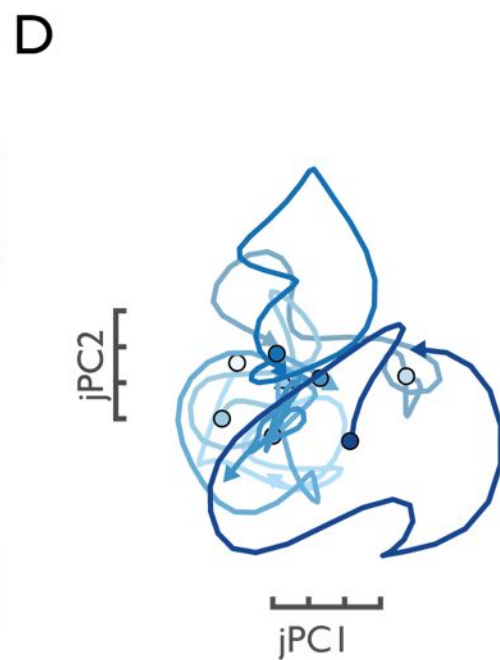
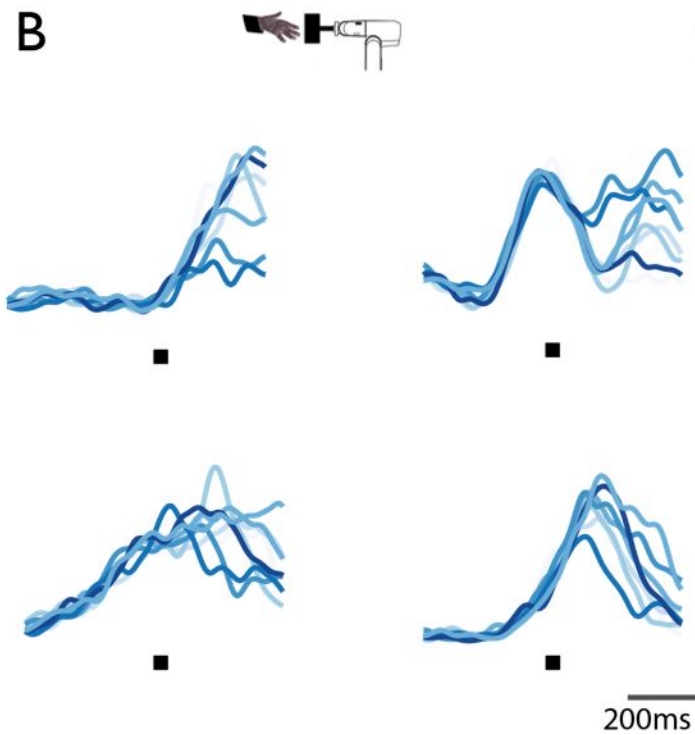
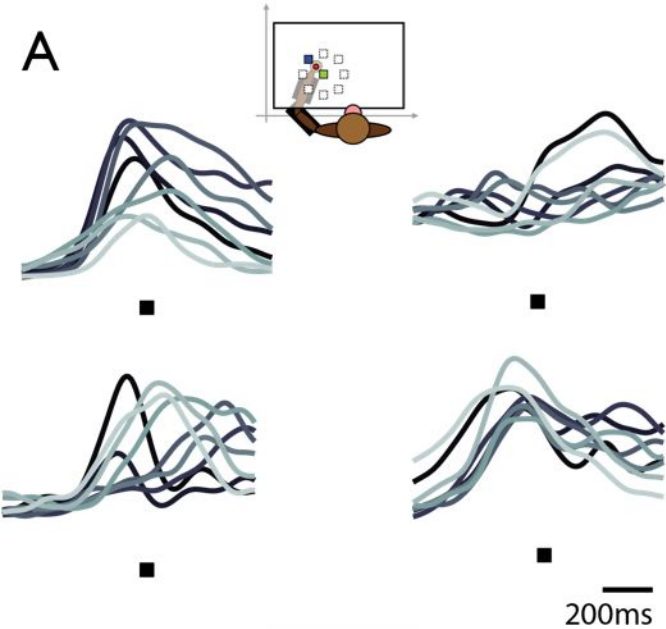
794 In summary, then, our sample size is sufficient, in principle, to recover dynamics embedded in a high-  
795 dimensional manifold. The weak dynamics in the grasping response that we did recover occupy a low-  
796 dimensional manifold, and we were able to resolve the population response for the grasping behavior  
797 across a large number of dimensions (40+ principal components).

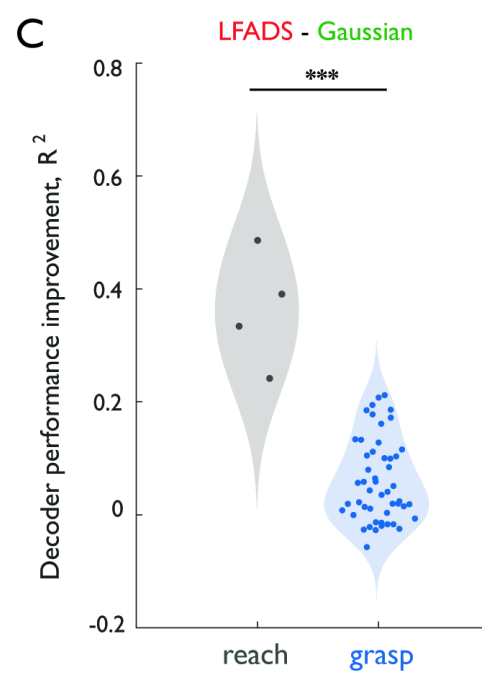
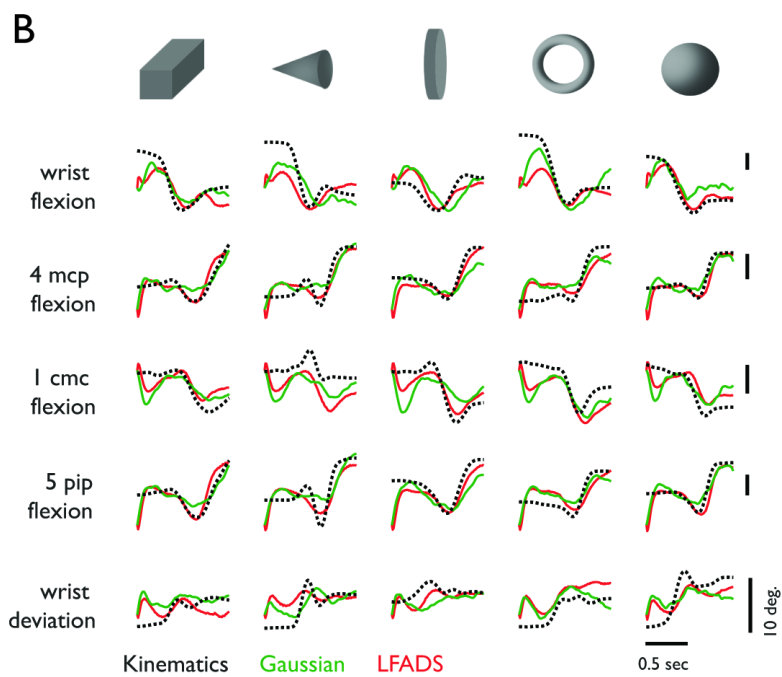
#### 798 *Statistics*

799 For most of analyses, sample sizes were large and data were distributed approximately normally so we  
800 used two-sided t-test. However, for some analyses, the data were right-skewed and the sample size was  
801 small, so we used non-parametric tests, either the Wilcoxon signed rank test or the Mann-Whitney-  
802 Wilcoxon test depending on whether the samples were matched (for example, comparison of same  
803 kinematic DoFs reconstructed with either Gaussian smoothing or LFADS) or not (for example,  
804 comparison of kinematic DoFs reconstruction from different datasets).

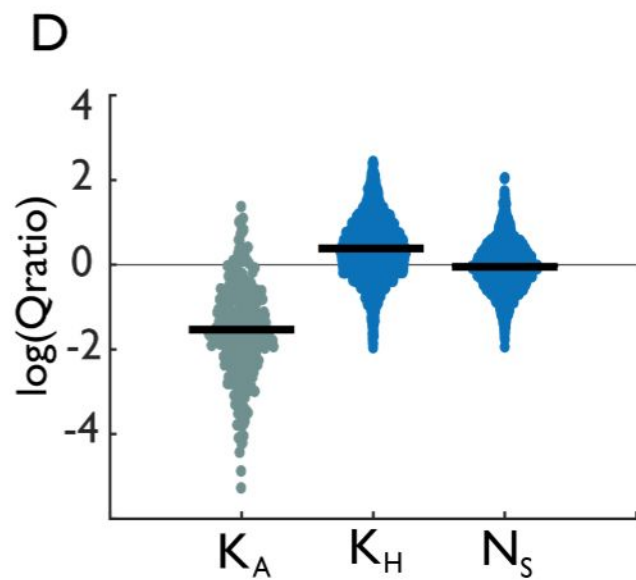
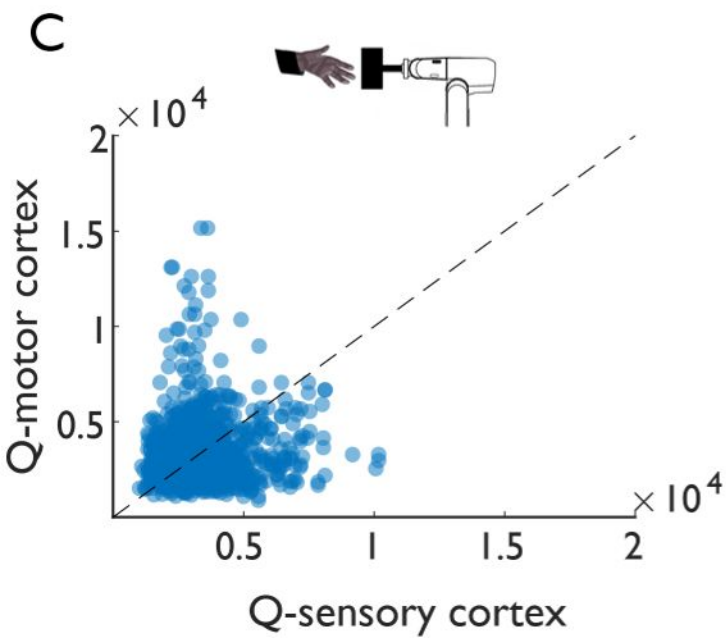
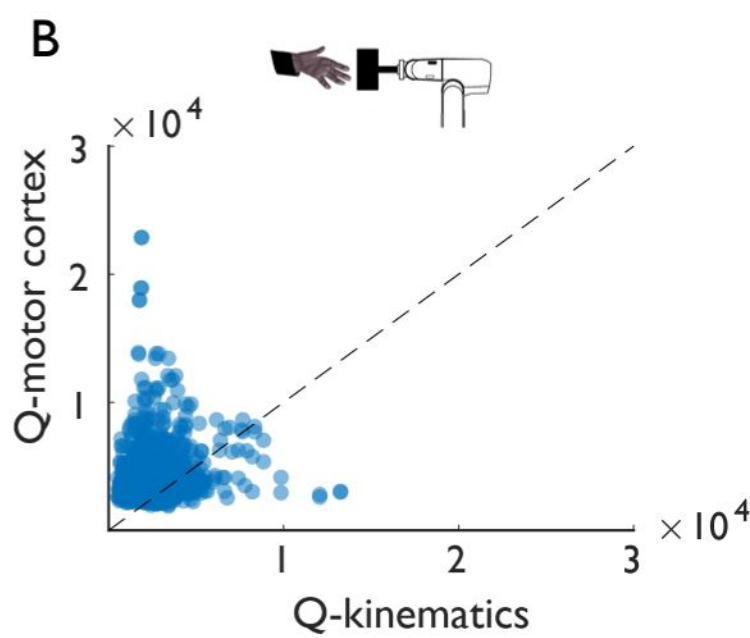
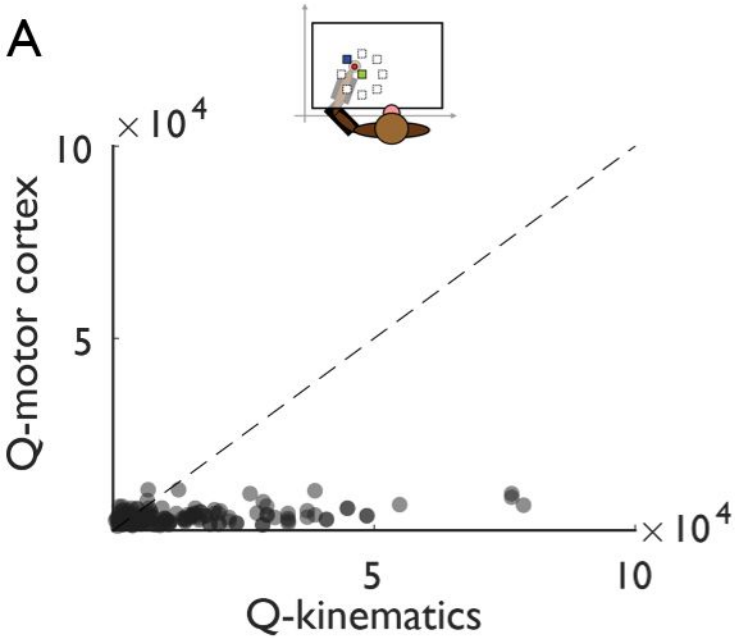
#### 805 **Data availability**

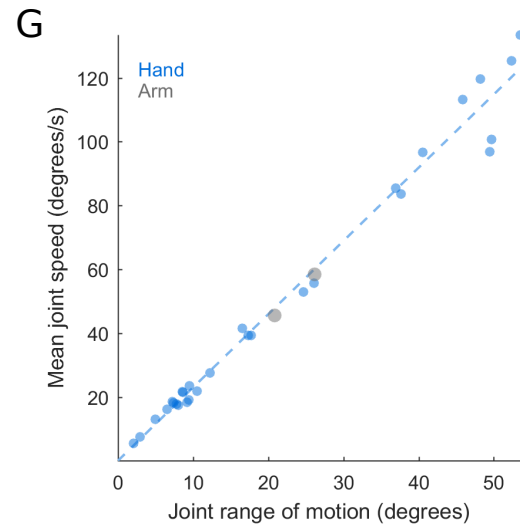
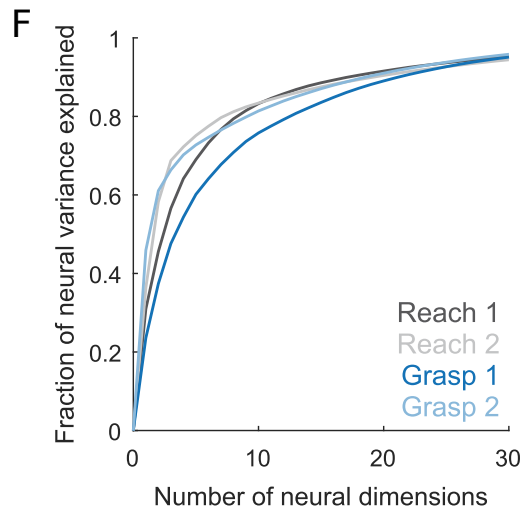
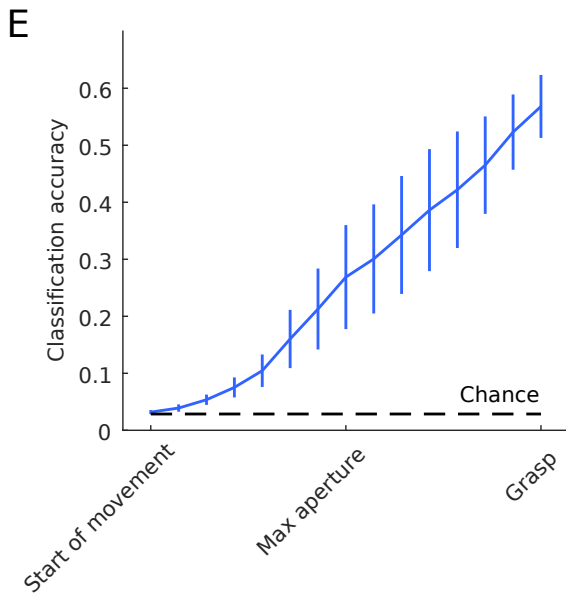
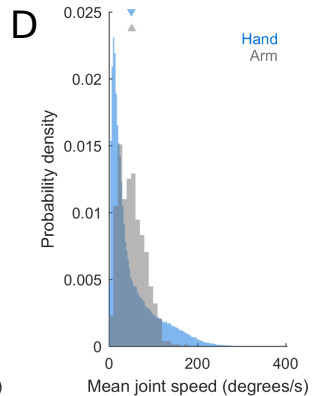
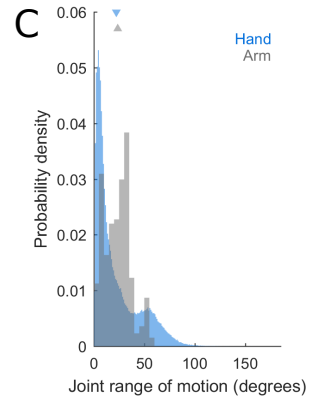
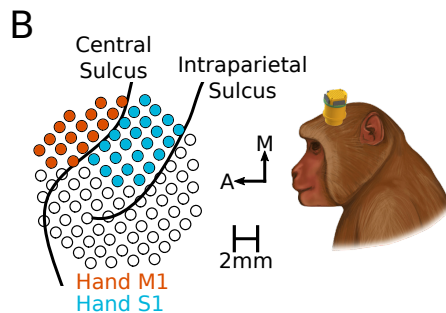
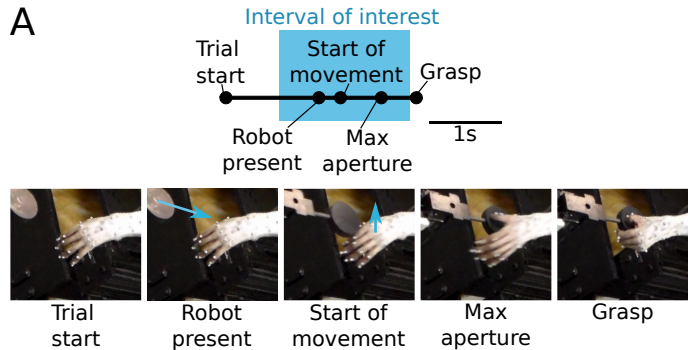
806 The data that support the findings of this study have been deposited in Dryad, accessible at  
807 <https://doi.org/10.5061/dryad.xsj3tx9cm>.

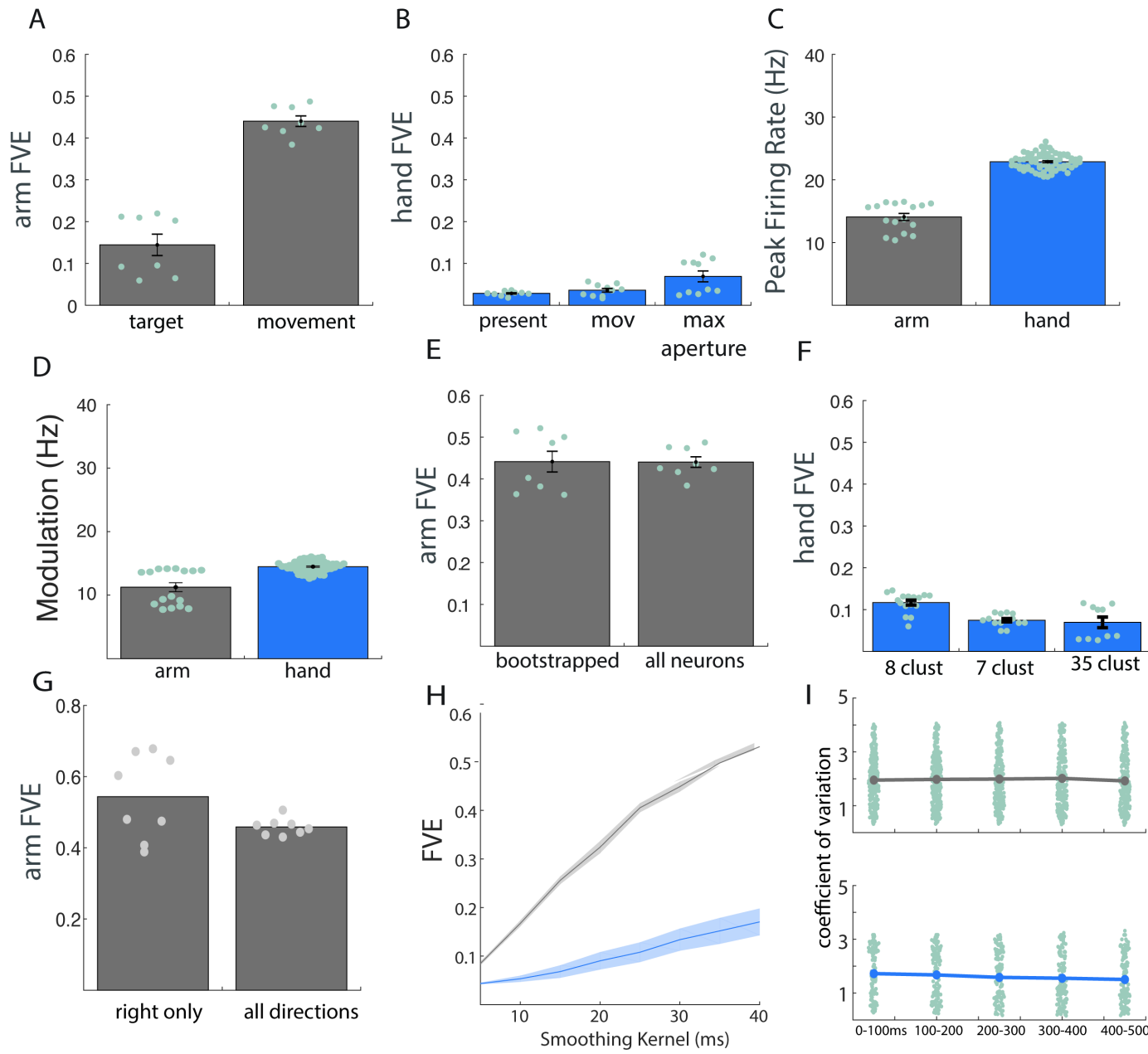




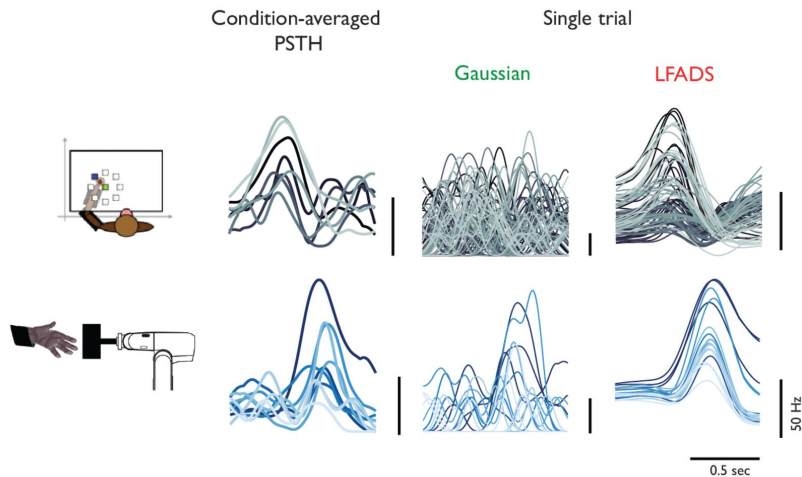




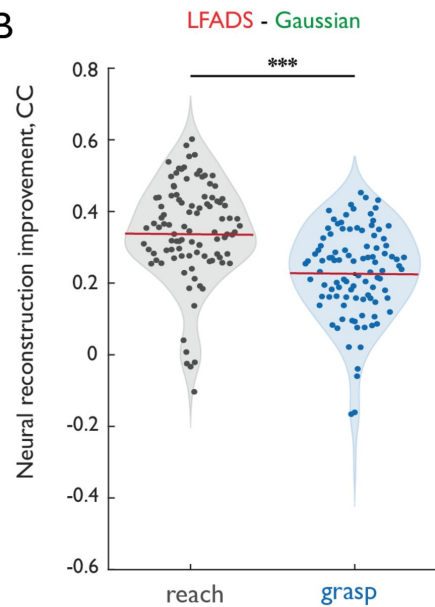




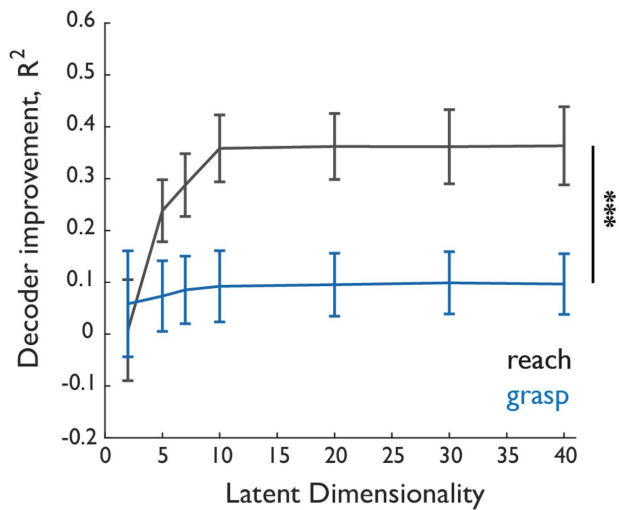
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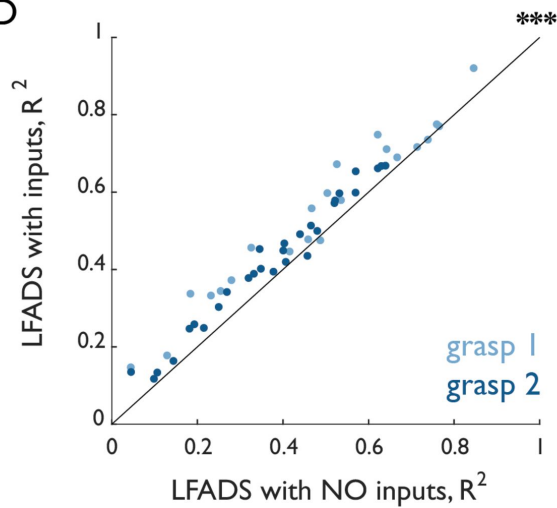
B



C

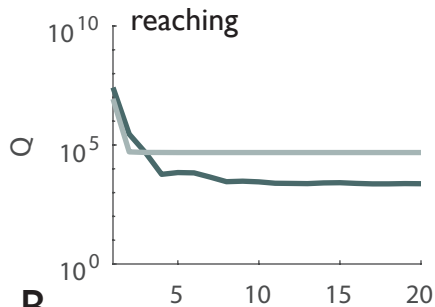


D

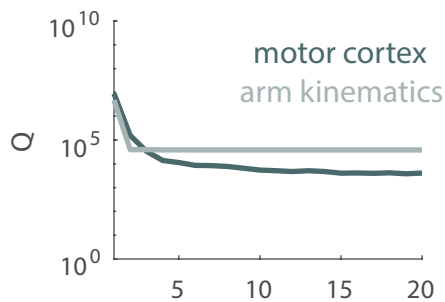
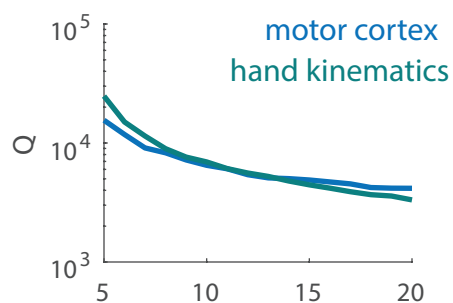
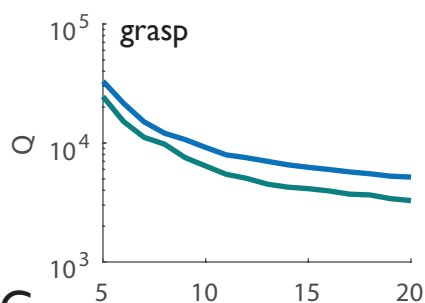
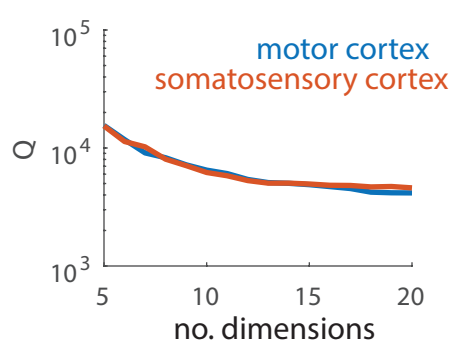
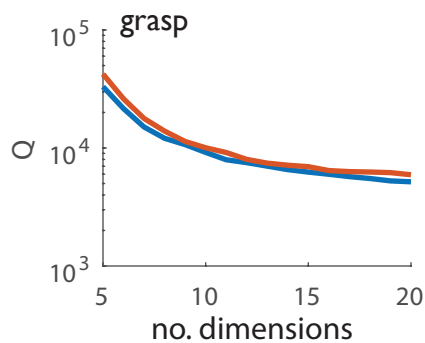


**A**

monkey 1



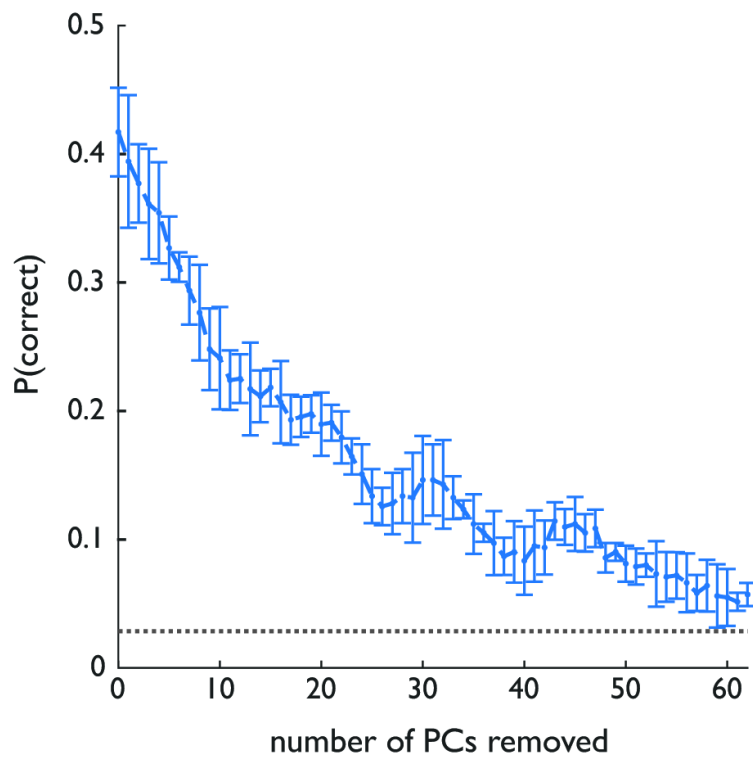
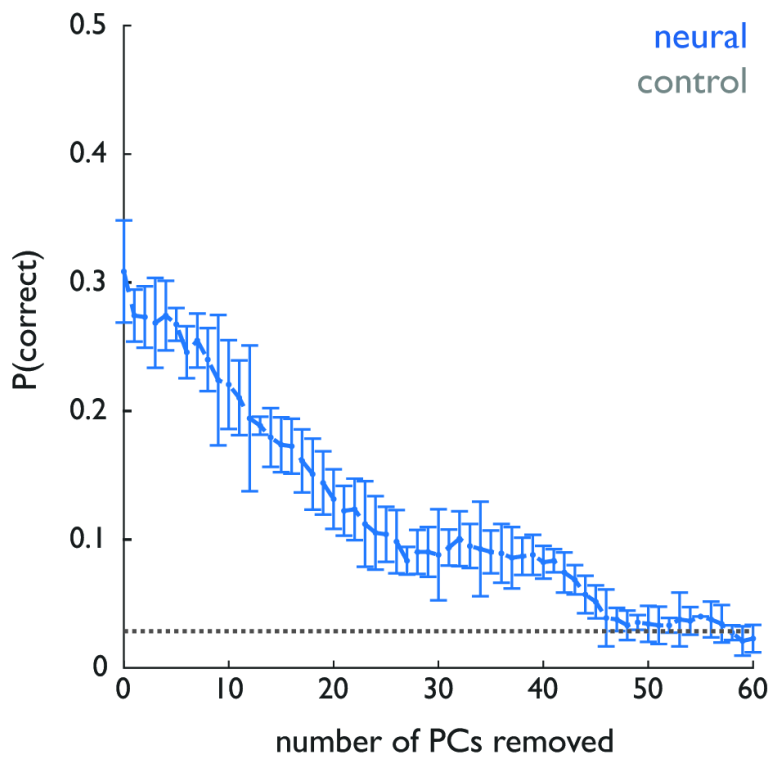
monkey 2

**B****C**

**A**

first monkey

second monkey

**B**