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- 3 Experiences of Black and Latinx health care workers in support roles during the COVID-19
- 4 pandemic: A qualitative study
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35 Abstract

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37 Black and Latinx individuals, and in particular women, comprise an essential health care 38 workforce often serving in support roles such as nursing assistants and dietary service staff. Compared to physicians and nurses, they are underpaid and potentially undervalued, yet play a 39 critical role in health systems. This study examined the impact of the coronavirus disease 2019 40 41 (COVID-19) pandemic from the perspective of Black and Latinx health care workers in support roles (referred to here as HCWs). From December 2020 to February 2021, we conducted 2 42 aroup interviews (n=9, 1 aroup in English and 1 group in Spanish language) and 8 individual 43 interviews (1 in Spanish and 7 in English) with HCWs. Participants were members of a high-risk 44 45 workforce as well as of communities that suffered disproportionately during the pandemic. Overall, they described disruptive changes in responsibilities and roles at work. These 46 47 disruptions were intensified by the constant fear of contracting COVID-19 themselves and infecting their family members. HCWs with direct patient care responsibilities reported reduced 48 opportunities for personal connection with patients. Perspectives on vaccines reportedly 49 50 changed over time, and were influenced by peers' vaccination and information from trusted sources. The pandemic has exposed the stress endured by an essential workforce that plays a 51 52 critical role in healthcare. As such, healthcare systems need to dedicate resources to improve 53 the work conditions for this marginalized workforce including offering resources that support resilience. Overall working conditions and, wages must be largely improved to ensure their 54 55 wellbeing and retain them in their roles to manage the next public health emergency. The role of 56 HCWs serving as ambassadors to provide accurate information on COVID-19 and vaccination 57 among their coworkers and communities also warrants further study.

59 Introduction

As of June 2021, the United States continues to have the highest number of coronavirus 60 61 disease 2019 (COVID-19) cases and deaths in the world. Individuals working in healthcare settings, the frontline of the pandemic, face higher risk of infection as well as higher risk of 62 63 psychological stress compared to the general population [1-4]. While nurses and physicians are the most recognized frontline workers, there are a variety of other roles in healthcare including 64 65 certified nurse assistants, therapists, emergency medical service personnel, dietary and food services staff, and administrative staff, among others, referred to here as health care workers 66 (HCW) [5]. HCWs work alongside physicians and nurses but are less recognized and lower 67 paid. This essential workforce comprises nearly 7 million people in low-paid jobs including 68 healthcare support workers (e.g., dietary aids), direct care workers (e.g., certified nursing 69 70 assistants), and healthcare service workers (e.g., hospital janitor staff) [6]. Furthermore, in the U.S., the vast majority of support HCWs are women (>80%) and they are disproportionately 71 72 Black and Latinx [7, 8].

73 In many cases, these HCWs have different relationships with their communities than other health care professionals. They often live in the same communities that they serve and have 74 established ties with community members. Many times, they share ethnicity, language. 75 socioeconomic status and life experiences with the community members they serve. These 76 77 HCWs have played an immense role in the COVID-19 response. For example, in collaboration with community-based organizations, HCW have contacted socially isolated patients, 78 79 connecting them with sources of critical important care and support [9]. They also served in 80 hospital and nursing homes settings such as laboratory technicians, phlebotomists or therapists 81 in direct contact with COVID-19 patients. Their role is even more important in underserved and minority populations where these workers break barriers of culture, language, and isolated 82

neighborhoods and community hospitals to deliver health care and social and public healthinterventions.

Overall, individuals from racial and ethnic minority populations have been disproportionately 85 impacted by the pandemic. For example, the risk of hospitalizations and death from COVID-19 86 87 are 2-3 times higher among Black and Latinx compared to White individuals [10-12]. Structural 88 and institutional racism underlies their higher risk and impact their ability to avoid infection and seek care [13]. Black and Latinx HCWs are not exempt from the profound effects of these 89 factors. Furthermore, the interaction between these factors and the inherent higher risk of 90 COVID-19 due to their profession, make Black and Latinx HCWs a population that is at 91 92 particularly acute risk. To date only a few studies have examined the perspectives of HCWs 93 during the COVID-19 pandemic, [2, 14, 15] and none have focused on their dual perspective as high-risk workers and members of marginalized communities, which has the potential to yield 94 95 critical insights for equity promotion during this pandemic and future public health crises. This 96 study examined the experiences of Black and Latinx HCWs to understand how the pandemic 97 impacted their profession, job responsibilities, and relationship with their communities.

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99 Methods

As part of NJ HEROES TOO (New Jersey Healthcare Essential Worker OutReach and Education Study- Testing Overlooked Occupations), we conducted group- and one-on-one interviews online [16]. This study was part of the NIH Rapid Acceleration of Diagnostics Underserved Populations (RADx-UP) Initiative which aims to understand disparities in underserved populations, with particular focus on COVID-19 testing [17]. We purposively sampled Black and Latinx individuals who worked as staff for 4 health care employers in New Jersey, including both in-patient (2 urban university hospitals) and outpatient (long-term care and homecare) settings in 4 counties with high numbers of Black/Latinx populations and
 COVID-19 burden. Employees over age 18 years who identified as Black or Latinx and
 identified English or Spanish as their primary language were eligible.

110 Data collection

111 We recruited 23 HCW, 3 were not eligible and 3 did not attend. We conducted 2 group- and 8 112 individual interviews with Black and Latinx HCW (N=17) between December 2020 and February 2021 using a secure Zoom platform. Variation in HCW work schedules made group interviews 113 largely prohibitive. After completing 2 group interviews, we began recruiting participants for 114 individual interviews in order to accommodate their schedules and ensure our approach was 115 116 responsive to their needs. Group interviews were led by a primary and secondary facilitator and 117 included two study team members for notetaking and technical assistance. We used a semistructured interview guide for group- and individual interviews, which the team iteratively 118 119 developed through literature review, prior experience, and debrief meetings after initial 120 interviews. All interviews were recorded and transcribed verbatim. Group interviews lasted approximately 90 minutes, and individual interviews 20-30 minutes. In conducting the interviews 121 over time and interview format, perspectives expressed by the respondents were remarkably 122 consistent, thus leading to our conclusion of reaching thematic saturation. 123

124

125 Data analysis

We analyzed the interview data using an "editing" approach as it was collected [18]. The study team debriefed after each interview and met weekly to review the data and discuss emerging themes. We initially read each transcript openly, and then in a second reading, we cut and pasted meaningful segments of text into approximately 4-page summaries. These summaries highlighted themes that emerged specifically from each interview, independent of the other interviews. We then comparatively analyzed the summaries to identify cross-cutting themes.

132 This study was approved by the Rutgers Biomedical Health Sciences IRB and follows the

133 Standards for Reporting Qualitative Research [19]. All participants provided verbal consent prior134 to participation.

135

136 **Results**

- Table 1 summarizes demographics and job descriptions of our sample. The median age was 48
 years (range 25-58); 47% were Black, 53% Latinx, and 100% female.
- 139 We identified three key themes that provide insight into the dual experiences of HCWs as high-
- risk workers and members of marginalized communities during the COVID-19 pandemic: (1)
- 141 Profound impact of the pandemic on job responsibilities, work settings, and personal
- 142 connections, (2) Fear and uncertainties caused by the pandemic; and, (3) Shifts in testing
- 143 frequency and vaccine attitudes as the pandemic evolved.

144 **Profound impact of the pandemic in job responsibilities, work settings, and connections**

- 145 HCWs described the substantial impacts of the pandemic on their personal lives.
- 146 "Well, the impact has been tremendous for me.... I lost my husband during
- 147 COVID, and I think that in May, that was kind of the height of the COVID, so I
- 148 couldn't do a lot of things. And I still had to work and so forth and take care of the
- 149 family and try to make them safe." [Group 1, community health worker in home
- 150 setting]
- 151 They described the distress caused by knowing they had contact with positive cases.

"By the middle of April, I'd lost four friends to it... we were altogether Friday, Saturday,
Sunday, and they all caught it, and I was spared. I mean, I was spared" (Participant 8,
outpatient care staff, hospital setting)

155

Experiences in the workplace intensified this impact. Participants shared stories about the 156 changes they endured at their place of employment. These changes varied based on work 157 setting and job roles and responsibilities. Participants in positions without direct patient contact 158 who were physically at their place of employment described abrupt structural changes in regular 159 160 job arrangements and duties to comply with the stricter COVID-19 preventive measures. "[O]ur department was actually closed March 20th, and we were put into what they call... 161 162 a labor pool. So our jobs ranged from either mask distribution, cleaning COVID vents, temperature taking... So a variety of different roles that we played outside of what our 163 164 normal job duties would be." [Participant 9, therapy department staff, hospital setting] 165 HCWs reported that they needed to adapt quickly, not only to aggressive prevention measures, but also to rapidly changing work-related tasks and expectations. 166 "As far as work goes, it's been implementing new policies every day to the 167 workflow being changed drastically". [Participant 4, administrative support staff, 168 169 hospital setting] 170 They commented on experiences from friends and community members about the long hours and lack of personal protective equipment. 171 172 "I have a friend of mine that work at the hospital. She works 16 hours. Sixteen hours. She said [name of participant], I barely have no time to eat because she's standing on 173

her feet to try to help the best way she can. Sometimes they have no equipment in there.

They have no gloves, no masks. She said [name of participant], I have to look all over the place to find equipment so I could help taking care of these patient." [Group 1, certified nurse assistant]

HCWs working in the community (e.g., home care settings), in particular, stopped visiting their
patients, which led to drastic changes in their responsibilities by switching to telework. This
change included teaching their patients to use technology and to share resources remotely.

"I've also seen that many people have felt like a little bit lost because with all of this
involving technology, trying to talk via the internet has been something difficult for the
elderly, and communicating with doctors." [Group 2, community health worker]

In that context, those bilingual HCWs were also faced with assisting non-English speakers to
adapt to new technology tools. They provided help to non-English speakers, particularly
Spanish speakers, to sign in and register using various technology tools including apps and
online appointments for testing. They also pointed at the difficulties identifying issues that can
be done only by in-person visits such as domestic violence cases or lead exposure as described
by one HCW serving in a home care setting.

"In this city, for example, lead in the paint. We would ... go in physically, into the house
and we'd see if the paint might have lead..." [Participant 5, community health worker,
home care setting]

In addition to changes in work responsibilities, participants described the loss of personal
connections with patients and patient's family members including the inability to provide physical
comfort. A participant in a direct care setting provided the following description:

196	"And then some residents, they want to get close to you, hold your hand. Their family is
197	not around. They want to talk to you You can't do it no more." [Participant 7, clinic
198	coordinator, nursing home]
199	Participants also commented on the potential long-term changes in personal contact due to the
200	pandemic.
201	"Human beings cannot only be limited to visual or audio contact Do you
202	understand me? Physical contact of, hello, a hug, a handshake. I think those
203	things will never be the same after COVID." [Participant 5, community health
204	worker, home setting]
205	
206	Fears and uncertainty caused by the pandemic among HCWs
207	Participants described the daily fear and uncertainty they faced at work related to the risk of
208	COVID-19 for them and their families. A participant reflected:
209	"It strikes me how sometimes I get up in the morning. I say I don't know what I'm going
210	to face now I have my children. We live together as a family. Sometimes when I come
211	back from work I tell them, you know what? Don't come close to me. Let me get
212	undressed, take my shower. Then when I'm ready, I'll come out and then we can say hi
213	to each other. " [Participant 7, clinic coordinator, nursing home]
214	Participants also shared stories about the risks of working in direct care settings:
215	"You take care of this today. The next day, by the time you get to work, they told you
216	they have the COVID. They have to move them. Then you say oh, my God You don't
217	protect yourself, but I took care of this person yesterday and then it's like we are too
218	close to each other." [Participant 7, clinic coordinator, nursing home]

219 Concerns about the risk of losing their jobs or a portion of their income were also discussed.

"There was the fear of saying, yes, I'm positive, because obviously, they would
immediately get sent home from work. ...And obviously, the falling behind in bills
or the fact that you did not work one day, gets you behind with all your bills. For
example, rent payment, the electric bill." [Participant 5, community health worker,
home care setting]

They discussed the impact of informing their employers about possible exposure.

226 "...they were exposed to someone – not at work, but on the ride to get to work.

227 So, the managers tell them, okay, you were exposed outside of work to someone

228 who was positive, so you have to stay home and we're not going to pay you for 229 those two weeks... they said, no, well, next time, I'm not going to notify them. I'm

230 going to work because if they continue leaving me at home, in guarantine, with

no pay, what am I going to do?" (Group 1, community health worker)

232 Participants also brought up the issue of stigma among coworkers after testing positive.

233 "It's a huge stigma; not from everyone, but some people will make you feel very

234 dirty, very uncomfortable, because someone has tested positive." [Participant 6,

administrative assistant in a hospital setting]

They also shared their own fear of coworkers who have tested positive.

237 "Right now, two people just came back - three - to our area that were tested

238 positive and were out for a while, and it really gives me the creeps. I don't know.

l'm thinking once it's in you, it's in you, and it's going to get me. So I try to stay as

far as I can from them." [Participant 10, dietary aid, nursing home setting]

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242	Shifts in testing and vaccines attitudes as the pandemic evolved
243	The rapid nature of the pandemic displayed the progression of testing in relation to frequency of
244	testing, type of test, and testing procedures.
245	Some participants were provided with frequent testing, while others were tested less frequently
246	or were not required to take tests at all.
247	"So it's basically your choice [testing]. It's not mandatory. It's not required."
248	[Participant 4, administrative support staff, hospital setting]
249	Another participant mentioned how they assumed the burden of testing by themselves to keep
250	their families safe:
251	"I'd also do it every two weeks on my own behalf because if something
252	happens to me, I didn't want to affect [my children]." [Group 1, community health
253	worker]
254	Additionally, employment status, such as contractors, who typically are third party employees,
255	made some participants not eligible for testing. Such policies created logistical barriers and
256	challenges for HCWs, as a participant explained:
257	"[S]ometimes I do find it difficult trying to figure out, am I eligible for the free
258	testing that they have, and because I'm not a true employee, should I go
259	somewhere else." [Participant 6, administrative assistant, hospital setting]
260	Participants, in both direct and indirect care settings, also discussed temporal changes in
261	frequency of testing and concerns about type of testing as the pandemic unfolded.
262	"Ours [test] is twice weekly for the lab, and then they had this thing where we were
263	getting rapid tests three times per week, but that has stoppedMy main concern $()$ I
264	don't know if anybody else see it the way I do, but when it comes to rapid testing, that

265 person is just tested and let go back into their work area until 15 minutes later. I don't 266 think that is safe because more than once they find people that are positive, and they've 267 already gone back to the people that it's like - it's - that's why I'm so scared of it. 268 [Participant 10, dietary aid, nursing home setting]

269 They also discussed their reasons for testing and changes in type of testing.

270 "I have to keep my parents safe... I was going to say one way of doing that is with

testing. The hospital does not test us for COVID. Initially they did. They tested us for

272 COVID. Then they tested us for the antibodies. We had nasal swab, then saliva, and

then blood was drawn. And that was done all at once." [Participant 9, physical therapy

274 department staff, hospital setting]

275 Perspectives on vaccine skepticism and decisions around vaccination also evolved over time.

276 Initial concerns about vaccines ranged from questions on secondary effects, trials data, and

277 experiences of failed public health interventions in minority populations.

278 "Well, I just wanted to see the type of side effects, if there were any, other than

just the mild temperature." [Participant 8, outpatient care staff, hospital setting]

Participants discussed the evolution of their opinions about vaccines including how they initially
were opposed to vaccination, but later changed their minds.

"Initially, it was a hard no… In the very, very beginning, I decided to let my coworkers go
first and see what happened with them, and then I would do it. Wednesday evening, I
finally logged on to make an appointment… [Participant 8, outpatient care staff, hospital
setting]

They discussed reasons for changing their opinion about vaccines including learning about other coworkers taking the vaccine and acquiring vaccine data from reliable sources. They also shared how they investigated vaccine data themselves.

289 "I had questions. And so, part of my questions had to do with testing, believe it or not...

290 Well, I'm not afraid to get it. It's not that. I have questions and I think it's fair that...I want

291 my questions answered. So I actually couldn't get answers from anyone else, so that's

when I wrote the NIH ... I felt amazed that they answered me, and so quickly, and very

specifically. And then I felt more comfortable about getting the vaccine. [Participant 9,

294 physical therapy department staff, hospital setting]

Some participants were vocal in their struggle about making decisions on the vaccine. They
 recognized their struggle between failed past public health strategies in minority populations and
 their current knowledge about science and prevention.

"Why are they offering it to Newark first? Is it because of the minorities? So they want
to experiment on us, right? But then the intelligent part of me says that we should be
blessed, and at the same time, if this is a chance to make it go away, then I have to do
what I have to do, not for myself, but for my family." [Participant 4, receptionist, hospital
setting]

Finally, participants voiced their concern about vaccine mandates and the implication for theircurrent employment.

305 "Either you are doing it or you are not getting your job. So I don't think they

306 should - they kind of put us in a place whereby you have to choose between your

307 job and the vaccine." [Group 2, certified nurse assistant]

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309 **Discussion**

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This study illustrated the multi-layered impact of the COVID-19 pandemic on Black and Latinx HCWs in ancillary and support roles. These workers received far less attention and recognition than doctors and nurses in the frontlines of the COVID-19 pandemic, yet they worked in similar high-risk settings and lived in the communities that suffered disproportionately. As such, their perspectives offer a unique lens on the pandemic including mitigation strategies like testing and vaccines that can inform policy.

HCWs reported a variety of changes in job responsibilities and personal connections. 316 They also described the fear of contracting COVID-19 themselves and transmitting to their 317 family members. These alterations led to continuous distress across HCWs in different roles. 318 319 Worldwide, HCWs have described increased workloads, new tasks, and disruption on HCWs' ability to deliver on their usual work responsibilities [15, 20]. A study of palliative care workers 320 including 41 countries, reported how the reorganization of work resulted in time-consuming 321 tasks and accessibility barriers for those workers conducting home visits [15]. Home HCWs in 322 323 New York City described their situation as a tough tradeoff between their own health and finances [14]. Our study showed that these alterations occurred across multiple settings 324 including hospital, homes, nursing homes and community. Before the pandemic, all HCWs were 325 known to be at high risk for anxiety, depression, burnout, insomnia, moral distress, and post-326 327 traumatic stress disorder [21, 22]. Our findings illustrate the critical need for health systems to provide targeted programs that support this marginalized workforce to mitigate the devastating 328 impact of the pandemic on this group, promote healing, reduce burnout, and enhance retention. 329 330 In 2020, more people were employed in health care support roles than in all health care

332 laboratory technicians) [7]. These HCWs provide frontline essential care, yet they are poorly

practitioners and technician jobs (doctors, nurses, emergency medical technicians [EMT],

333 compensated. In 2018, HCW, who are mostly women (>80%) and disproportionately Black and 334 Latinx, made a median of \$13.38 per hour with home health and personal care workers making only \$11.52 per hour [23]. Furthermore, nearly 20% live in poverty and more than 40% rely on 335 some public assistance [23]. This pandemic has exposed the stress endured by an essential 336 337 workforce that plays a critical role in healthcare. As such, healthcare systems need to dedicate resources to improve the work conditions to this marginalized workforce. Working conditions 338 can include pay, space, physical conditions and mental demands, health, safety and wellbeing 339 among others [24]. Overall work conditions must be largely improved to be able to ensure their 340 341 wellbeing and role retention to manage the next public health emergency.

342 Vaccine hesitancy has been widely discussed since early in the pandemic [25, 26]. 343 Various surveys have reported shifts in vaccine hesitancy and enthusiasm among the U.S. population. For example, individuals taking the "wait and see approach" decreased by 8% 344 between December 2020 and January 2021 [27]. Among HCW, our data suggest that access to 345 346 information about the vaccine, candid answers to their questions, and seeing coworkers vaccinated all influenced their decisions on moving towards vaccination. However, some 347 remained hesitant about vaccines citing distrust in the government and institutions based on 348 349 past failed interventions in Black and Latinx populations. As of October 2021, 4 in 10 of all HCWs have not been vaccinated [28]. In New Jersey, the census estimates that 14% and 21% 350 of the general population in 2019 was Black and Latinx, respectively. However, as of November 351 352 1st 2021, in New Jersey, Black and Latinx individuals represent only 8% and 16% of those fully 353 vaccinated [29]. Our research suggests that transparent dialogue directly addressing questions 354 and concerns about the COVID-19 vaccine by trusted entities or individuals may help to 355 increase the number of vaccinated individuals within the HCW workforce. Participants also shared how seeing peers vaccinated influenced their decision to seek vaccination. The role of 356 357 HCWs serving as ambassadors to provide accurate COVID-19 information and improve the

number of vaccinated individuals among their coworkers and communities warrants additional
study. This role may be particularly relevant in light of concerns voiced by HCWs in relation to
vaccine mandates. It is unclear the extent to which states and/or employers might implement
COVID-19 vaccine mandates, and whether or not mandates are the most effective means to
achieve higher vaccination rates is unknown.

363 Our work has limitations. First, we sampled Black and Latinx participants from largely urbanized counties in one state; thus, our results may not transfer to other racial/ethnic groups 364 or rural settings. We were, however, able to capture a unique, diverse population and HCWs 365 from a variety of work settings including hospital, home, and community settings. Second, while 366 367 we were able to capture temporal changes in testing and vaccine hesitation from November to 368 February, given the rapid evolution of the pandemic it is likely that perspectives have continued to change. Nevertheless, this study design did enable us to capture participants' experiences at 369 370 a critical juncture during the pandemic when the first rollout of vaccines was occurring.

371 Conclusion

Our study illustrates the profound impact of the COVID-19 pandemic in Black and Latinx 372 373 HCWs in ancillary and support roles. Disruption in their daily responsibilities and roles, abrupt structural changes, and fear of contracting COVID-19 caused continuous distress. Efforts to 374 375 further examine the role of HCWs as ambassadors to improve the number of vaccinated 376 individuals among their coworkers warrants additional research. This marginalized workforce 377 has been an integral part of the fight against COVID-19; however, these workers remain underpaid and under recognized. Health systems must work to improve work conditions for this 378 marginalized group to ensure their wellbeing and support their critical role in our communities 379 during this pandemic and future public health emergencies. 380

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Table 1: Demographic characteristics of study participants					
	Total (n=17)				
	n	Percent			
Age					
Median	48				
Range	25-58				
Sex					
Female	17	100.0			
Race/Ethnicity					
Black or African American	8	47.1			
Hispanic/Latino-White	0	0			
Hispanic/Latino-Other	9	52.9			
Education					
Doctoral/Professional Degree	1	5.9			
Master's Degree	2	11.8			
4-Year Degree	6	35.3			
Associate Degree	1	5.9			
Some College, No Degree	3	17.6			
High School	2	17.6			
Diploma/Equivalent	5	17.0			
< High School	1	5.9			
Household Income					
<\$120,000-100,000	2	11.8			
\$75,000 to 99,999	1	5.9			
\$50,000 to 74,999	6	35.3			
\$25,000 to 49,999	5	29.4			
< \$25,000	1	5.9			
Refused/Missing	2	11.8			
Total Household Members					
1	4	23.5			
2	0	0.0			
3	5	29.4			
4	4	23.5			
5	2	11.8			
6+	2	11.8			